



# SMIFS CAPITAL MARKETS LIMITED

November 15, 2022

**The Secretary**  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata – 700 001

Dear Sir,

**Sub: Submission of Disclosure on Related Party Transactions**

Pursuant to Regulation 23(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby enclose the disclosure of Related Party Transactions on the basis of consolidated financial statements for the year ended September 30, 2022.

This is for your information and records.

Thanking you,

Yours faithfully,  
For **SMIFS Capital Markets Limited**

**(Ayanti Sen)**  
**Company Secretary –cum–Compliance Officer**



Encl: a/a

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General information about company				
Name of The Company	SMIFS Capital Markets Limited			
BSE Scrip Code	508905			
NSE Symbol				
MSE Symbol				
Date of Start of Financial Year	01	04	2022	
Date of End of Financial Year	31	03	2023	
Reporting Period	First half yearly			
Date of Start of Reporting Period	01	04	2022	
Date of End of Reporting Period	30	09	2022	
Level of rounding to be used in disclosing related party transactions	Lakhs			
Whether the company has any related party?	Yes			
Whether the company has entered into any Related Party transaction during the selected half year for which it wants to submit disclosure?	Yes			

(I) We declare that the acceptance of fixed deposits by the bans/Non-Banking Finance Company are at the terms uniformly applicable/offered to all shareholders/public	NA
(II) We declare that the scheduled commercial bank, as per RBI circular RBI/DBR/2015-16/19 dated March 03, 2016, has allowed additional interest of one per cent per annum, over and above the rate of interest mentioned in the schedule of interest rates on savings or a term deposits of bank's staff and their exclusive associations as well as on deposits of Chairman, Chairman & Managing Director, Executive Director or such other Executives appointed for a fixed tenure.	NA
(III) Whether the company is a 'high value debt listed entity' according to regulation 15 (1A)?	No
(a) If answer to above question is Yes, whether complying with proviso to regulation 23 (9), i.e., submitting RPT disclosures on the day of results publication?	
(b) If answer to above question is No, please explain the reason for not complying.	

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For SMIFS CAPITAL MARKETS LIMITED  
*Ayanti Sen*  
(AYANTI SEN)  
COMPANY SECRETARY  
CUM COMPLIANCE OFFICER

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## Related party transactions

Sr No.	Details of the party (listed entity /subsidiary) entering into the transaction		Details of the counterparty			Type of related party transaction	Details of other related party transaction
	Name	PAN	Name	PAN	Relationship of the counterparty with the listed entity or its subsidiary		
	<input type="button" value="Add"/>	<input type="button" value="Delete"/>					
1	SMIFS CAPITAL MARKETS LIMITED	AAECS4139D	SMIFS LIMITED	AADC57513E	Entities where Key Managerial Personnel and their relatives	Any other transaction	Brokerages paid for sale of shares
2	SMIFS CAPITAL MARKETS LIMITED	AAECS4139D	Mr. Utsav Parekh	AGHP4467H	Promoter	Any other transaction	Sitting Fees for Attending Board
3	SMIFS CAPITAL MARKETS LIMITED	AAECS4139D	Mr. Ajay Kumar Kayan	AGGPK0892G	Promoter	Any other transaction	Sitting Fees for Attending Board
4	SMIFS CAPITAL MARKETS LIMITED	AAECS4139D	Mr. Santosh Kumar Mukherjee	AFAPM3116N	Independent Director	Any other transaction	Sitting Fees for Attending Board
5	SMIFS CAPITAL MARKETS LIMITED	AAECS4139D	Mr. Ramesh Maheshwari	AEXPM8916N	Independent Director	Any other transaction	Sitting Fees for Attending Board
6	SMIFS CAPITAL MARKETS LIMITED	AAECS4139D	Mrs. Pushpa Mishra	ANVPM5278L	Independent Director	Any other transaction	Sitting Fees for Attending Board
7	SMIFS CAPITAL MARKETS LIMITED	AAECS4139D	Mr. Kishor Shah	AIQP54268J	Managing Director	Any other transaction	Salary
8	SMIFS CAPITAL MARKETS LIMITED	AAECS4139D	Mr. Shreemanta Banerjee	AIEPB0462F	CFO cum Vice President Finance and Taxation	Any other transaction	Salary
9	SMIFS CAPITAL MARKETS LIMITED	AAECS4139D	Ms. Ayanti Sen	EDSP57658B	Company secretary and Compliance Officer	Any other transaction	Salary
10	SMIFS CAPITAL SERVICES LIMITED	AAFC54329E	Mr. Latesh Mehta	BAJPM3534R	Company Secretary of Wholly Owned Subsidiary Company	Any other transaction	Salary
11	SMIFS CAPITAL MARKETS LIMITED	AAECS4139D	Mr. Rahul Kayan	AGAPK0442L	Son of Director, Mr. Ajay Kumar Kayan	Any other transaction	Salary
12	SMIFS CAPITAL MARKETS LIMITED	AAECS4139D	Mr. Amar Saraf	AMCPS4166M	Daughter's Husband of Director, Mr. Ajay Kumar	Any other transaction	Salary
13	SMIFS CAPITAL MARKETS LIMITED	AAECS4139D	SMIFS CAPITAL SERVICES LIMITED	AAFC54329E	Whollyowned Subsidiary Company	Any other transaction	Payment of Provident Fund of Wholly Owned
Total value of transaction during the reporting period							

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For SMIFS CAPITAL MARKETS LIMITED

Ayanti Sen  
 COMPANY SECRETARY  
 CUM COMPLIANCE OFFICER

					Additional disclosure of related party transactions - applicable only in case the related party		
Value of the related party transaction as approved by the audit committee	Remarks on approval by audit committee	Value of transaction during the reporting period	In case monies are due to either party as a result of the transaction		In case any financial indebtedness is incurred to make or give loans, inter-corporate investments		
			Opening balance	Closing balance	Nature of indebtedness (loan/ issuance of debt/ any other etc.)	Details of other indebtedness	Cost
60000.00	NA	132204.94	0.00	0.00			
110000.00	NA	110000.00	0.00	0.00			
80000.00	NA	80000.00	0.00	0.00			
130000.00	NA	130000.00	0.00	0.00			
80000.00	NA	80000.00	0.00	0.00			
130000.00	NA	130000.00	0.00	0.00			
3720750.00	NA	3720750.00	0.00	0.00			
760500.00	NA	760500.00	0.00	0.00			
106343.00	NA	106343.00	0.00	0.00			
90000.00	NA	90000.00	0.00	0.00			
181200.00	NA	181200.00	0.00	0.00			
181200.00	NA	181200.00	0.00	0.00			
300000.00	NA	73259.00	0.00	0.00			
		5775456.94					

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For SMIFS CAPITAL MARKETS LIMITED

*Ayanti Sen*

(I SEN)  
 COMPLIANCE SECRETARY  
 SUM COMPLIANCE OFFICER

