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General information about company

Scrip code

508905

NSE Symbol

MSEI Symbol

ISIN

INE641A01013

Name of the company

SMIFS CAPITAL MARKETS LIMITED

Whether company is SME

No

Class of Security

Equity Shares

Type of report

Quarterly

Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)

30-09-2023

Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date

Shareholding pattern filed under

Regulation 31 (1) (b)

Whether the listed entity is Public Sector Undertaking (PSU)?

No

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Sr. No.	Particular	Yes/No	Promoter and Promoter Group
1	Whether the Listed Entity has issued any partly paid up shares?	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No
7	Whether company has equity shares with differential voting rights?	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes	

Public shareholder	Non Promoter- Non Public
No	No
No	No
No	No
No	No
No	No
No	No

Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid- up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class X	Class Y	Total	
(A)	Promoter & Promoter Group	12	2534051			2534051	45.37	25,34,051.00		2534051.00	45.37
(B)	Public	1747	3050949			3050949	54.63	3050949.00		3050949.00	54.63
(C)	Non Promoter- Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1759	5585000			5585000	100	5585000.00		5585000.00	100.00

No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (X)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (X) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
									Shareholding (No. of shares) under		
				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
			85.37					2534051			
			54.63					3008012	0	0	0
			100					5542063	0	0	0

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (II)	No. of fully paid up equity shares held (IV)	No. Of Partly paid up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of Total no. of shares (calculated as per SCRR 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No. of Voting (20V) Rights			
								Class no. x	Class no. x	Total	
A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1) Indian											
(a)	Central Government / State Government / Local Government / Public Sector Undertakings (PSUs)	9	784150			784150	14.04	784150.00		784150	14.04
(b)	Central Government / State Government / Local Government / Public Sector Undertakings (PSUs)										
(c)	Foreign Institutional Investors (FIIs)										
(d)	Foreign Institutional Investors (FIIs)										
(e)	Foreign Institutional Investors (FIIs)										
	Sub-Total (A)(1)	3	1749701			1749701	31.33	1749701.00		1749701	31.33
(2) Foreign											
(a)	Foreign Institutional Investors (FIIs)	12	2534051			2534051	45.37	2534051.00		2534051	45.37
(b)	Foreign Institutional Investors (FIIs)										
(c)	Foreign Institutional Investors (FIIs)										
(d)	Foreign Institutional Investors (FIIs)										
(e)	Foreign Institutional Investors (FIIs)										
	Sub-Total (A)(2)										
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	12	2534051			2534051	45.37	2534051.00		2534051	45.37
B Table III - Statement showing shareholding pattern of the Public Shareholder											
Note: Kindly show details of shareholders having more than one percentage of total no. of shares. Please refer software manual.											
(1) Institutions (Domestic)											
(a)	Central Government / State Government / Local Government / Public Sector Undertakings (PSUs)										
(b)	Central Government / State Government / Local Government / Public Sector Undertakings (PSUs)										
(c)	Central Government / State Government / Local Government / Public Sector Undertakings (PSUs)										
(d)	Central Government / State Government / Local Government / Public Sector Undertakings (PSUs)										
(e)	Central Government / State Government / Local Government / Public Sector Undertakings (PSUs)										
(f)	Central Government / State Government / Local Government / Public Sector Undertakings (PSUs)										
(g)	Central Government / State Government / Local Government / Public Sector Undertakings (PSUs)										
(h)	Central Government / State Government / Local Government / Public Sector Undertakings (PSUs)										
(i)	Central Government / State Government / Local Government / Public Sector Undertakings (PSUs)										
(j)	Central Government / State Government / Local Government / Public Sector Undertakings (PSUs)										
(k)	Central Government / State Government / Local Government / Public Sector Undertakings (PSUs)										
	Sub-Total (B)(1)										
(2) Institutions (Foreign)											
(a)	Foreign Institutional Investors (FIIs)										
(b)	Foreign Institutional Investors (FIIs)										
(c)	Foreign Institutional Investors (FIIs)										
(d)	Foreign Institutional Investors (FIIs)	1	550000			550000	9.85	550000.00		550000	9.85
(e)	Foreign Institutional Investors (FIIs)										
(f)	Foreign Institutional Investors (FIIs)										
(g)	Foreign Institutional Investors (FIIs)										
(h)	Foreign Institutional Investors (FIIs)										
(i)	Foreign Institutional Investors (FIIs)										
(j)	Foreign Institutional Investors (FIIs)										
(k)	Foreign Institutional Investors (FIIs)										
	Sub-Total (B)(2)	1	550000			550000	9.85	550000.00		550000	9.85
(3) Central Government / State Government (A)											
(a)	Central Government / State Government										
(b)	Central Government / State Government										
(c)	Central Government / State Government										
	Sub-Total (B)(3)										
(4) Non-Institutions											

Note : Kindly show details of shareholders having more than one percentage of total no. of shares. Please refer software manual.

(a)	Associate companies / Subsidiaries								
(b)	Directors and their relatives (excluding independent directors and non-exec directors)								
(c)	Key Managerial Personnel								
(d)	Relatives of promoters (other than immediate relatives of promoters disclosed under Promoter and Promoter Group category)								
(e)	Trusts where any person belonging to Promoter and Promoter Group category is trustee, beneficiary, or author of the trust								
(f)	Investor Education and Protection Fund (IES)								
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	1	114564		114564	2.05	114564	114564	2.05
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	1650	677739		677739	12.13	677739	677739	12.13
(i)	Non Resident Indians (NRI)	9	872662		872662	15.63	872662	872662	15.63
(j)	Foreign Nationals	4	4095		4095	0.07	4095	4095	0.07
(k)	Foreign Companies								
(l)	Bodies Corporate	34	771315		771315	13.81	771315	771315	13.81
(m)	Any Other (specify)	48	60574		60574	1.08	60574	60574	1.08
Sub-Total (B)(4)		1746	2500949		2500949	44.78	2500949.00	2500949	44.78
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		1747	3050949		3050949	54.63	3050949	3050949	54.63

Details of the shareholders acting as persons in Control for Public:

Details of Shares which remain unclaimed for Public:

C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
(1)	Custodian/DH Holder - Name of DR Holders (if Available)								
(2)	Employee Benefit Trust / Employee Welfare Trust under SEBI (Employee Benefit and Welfare Funds) Regulations, 2021								
Total Non Promoter- Non Public Shareholding (C) = (C)(1)+(C)(2)									
Total (A+B+C)		1759	5585000		5585000	100.00	5585000.00	5585000	100.00
Total (A+B+C)		1759	5585000		5585000	100.00	5585000.00	5585000	100.00
Disclosure of notes on shareholding pattern							Add Notes		
Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage							Add Notes		
Disclosure of notes in case of public share holding is less than 25 percentage							Add Notes		
Disclosure of notes on shareholding pattern for company remarks explanatory							Add Notes		

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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class (IX)	
						No of Voting (XIV) Rights	
						Class eg X	Total

A1(a) Individuals/Hindu undivided Family

Add

Delete

1	AJAY KUMAR KAYAN	AGGPK0892G	400550	400550	7.17	400550.00	400550.00
2	LALITA KAYAN	AGGPK0893H	154300	154300	2.76	154300.00	154300.00
3	NILANGI PAREKH	AJPPP3852K	123000	123000	2.20	123000.00	123000.00
4	UTSAV PAREKH	AGHPP4467H	96200	96200	1.72	96200.00	96200.00
5	RAHUL KAYAN	AGAPK0442L	7000	7000	0.13	7000.00	7000.00
6	SUMAN BHARTIA	AEEP89602B	1500	1500	0.03	1500.00	1500.00
7	GAURI SHANKAR AJAY KUMAR (HUF)	AABHG5183R	1000	1000	0.02	1000.00	1000.00
8	AJAY KUMAR KAYAN (HUF)	AACHA9009B	700	700	0.01	700.00	700.00
9	PAYAL SARAF	AFYPK7045N	100	100	0.00	100.00	100.00
Click here to go back			Total	784350	784350	14.04	784350.00

ss of securities

Total as a % of Total Voting rights	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (XI)(a) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
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7.17	7.17	400550		Promoter
2.76	2.76	154300		Promoter Group
2.20	2.20	123000		Promoter Group
1.72	1.72	96200		Promoter
0.13	0.13	7000		Promoter Group
0.03	0.03	1500		Promoter Group
0.02	0.02	1000		Promoter Group
0.01	0.01	700		Promoter Group
0.00	0.00	100		Promoter Group
14.04	14.04	784350		

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Serial No.	Category	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)
A1(d)	Any Other (specify)						
	Add	Delete					
1	Bodies Corporate	MACKERTICH CONSULTANCY SERVICES PRIVATE LIMITED	AABCM5680Q	1	1115700	1115700	19.98
2	Bodies Corporate	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	AABCP6020F	1	357251	357251	6.40
3	Bodies Corporate	STEWART INVESTMENT AND FINANCIAL PRIVATE LIMITED	AADCS8612C	1	276750	276750	4.96
Click here to go back				Total	3	1749701	31.33

Number of Voting Rights held in each class of securities (IX)			Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) $(X) = (VII) + (X)$ As a % of $(A+B+C2)$	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
No of Voting (XIV) Rights		Total as a % of Total Voting rights				
Class eg: X	Total					

1115700.00	1115700.00	19.98	19.98	1115700		Promoter
357251.00	357251.00	6.40	6.40	357251		Promoter
276750.00	276750.00	4.96	4.96	276750		Promoter
1749701.00	1749701.00	31.33	31.33	1749701		

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Serial No.	Name of the Shareholders (i)	PAN (ii)	No. of fully paid up equity shares held (iv)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class (IX)	
						No of Voting (XIV) Rights	
						Class eg: X	Total
B2(d)	Foreign Portfolio Investors Category I						
<div><div>Add</div><div>Delete</div></div>		Disclosure of shareholder holding more than 1% of total number of shares					
1	THE INDIAMAN FUND (MAURITIUS) LIMITED	AAACT4855D	550000	550000	9.85	550000.00	550000.00
	Click here to go back	Total	550000	550000	9.85	550000.00	550000.00

ss of securities	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
				Shareholding (No. of shares) under		
				Sub-category (i)	Sub-category (ii)	Sub-category (iii)
Total as a % of Total Voting rights						
9.85	9.85	550000		0	0	0
9.85	9.85	550000		0	0	0

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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class (IX)	
						No of Voting (XIV) Rights	
						Class eg: X	Total
B4(f)	Investor Education and Protection Fund (IEPF)						
<div><div>Add</div><div>Delete</div></div> <div>Disclosure of shareholder holding more than 1% of total number of shares</div>							
1	INVESTOR EDUCATION AND PROTECTION FUND	ZZZZZ99992	114564	114564	2.05	114564.00	114564.00
	Click here to go back	Total	114564	114564	2.05	114564.00	114564.00

Total as a % of Total Voting rights	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
				Shareholding (No. of shares) under		
				Sub-category (i)	Sub-category (ii)	Sub-category (iii)
2.05	2.05	114564	2	0	0	0
2.05	2.05	114564		0	0	0

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Searial No.	Name of the Shareholders (i)	PAN (ii)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class (IX)	
						No of Voting (XIV) Rights	
						Class eg: X	Total
B4(h)	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.						
<div><div>Add</div><div>Delete</div></div>		Disclosure of shareholder holding more than 1% of total number of shares					
1	MINAL BHARAT PATEL	AACPP5126G	276801	276801	4.96	276801.00	276801.00
2	PUNJABHAI KESHAVBHAI JADEJA	AEDPK1160L	197511	197511	3.54	197511.00	197511.00
3	SANGEETHA S	AUJPS3739F	182590	182590	3.27	182590.00	182590.00
4	MITHALAL HASTHIMAL GANDHI	ABGPG9310G	59774	59774	1.07	59774.00	59774.00
Click here to go back		Total	716676	716676	12.83	716676.00	716676.00

Class of securities	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
				Shareholding (No. of shares) under		
				Sub-category (i)	Sub-category (ii)	Sub-category (iii)
Total as a % of Total Voting rights						
4.96	4.96	276801		0	0	0
3.54	3.54	197511		0	0	0
3.27	3.27	182590		0	0	0
1.07	1.07	59774		0	0	0
12.83	12.83	716676		0	0	0

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Serial No.	Category	Category / More than 1 percentage	Name of the Shareholders (i)	PAN (ii)	No. of the Shareholders (i)	No. of fully paid up equity shares held (iv)	Total nos. shares held (vii) = (iv)+(v) + (vi)
B4(m)	Any Other (specify)						
	Add Delete						
1	Full	Category			46	46374	46374
2	Clearing Members	Category			2	14200	14200
Sub Total					Total	48	60574

Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
	No of Voting (XIV) Rights		Total as a % of Total Voting rights				Shareholding (No. of shares) under		
	Class eg X	Total					Shareholding (No. of shares) under		
							Sub-category (i)	Sub-category (ii)	Sub-category (iii)
0.83	46374	46374	0.83	0.83	46374		0	0	0
0.25	14200	14200	0.25	0.25	14200		0	0	0
1.08	60574.00	60574.00	1.08	1.08	60574		0	0	0

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Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100.00	9.92
As on the end of previous 1st quarter	100.00	9.92
As on the end of previous 2nd quarter	100.00	9.92
As on the end of previous 3rd quarter	100.00	9.92
As on the end of previous 4th quarter	100.00	9.92

Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.

554095.00

554030.00

554035.00

554035.00

554035.00

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Sr. No.	Details of the SBO					Details of the registered owner			
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality
significant beneficial owners									
Add Delete									
1	ADITY KUMAR KAYAN	AGGPK0892G		India		MACKEITH CONSULTANCY	AABCM5680G		India

		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*				Date of creation / acquisition of significant beneficial interest
Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
	Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
		13.98		No	No	30-03-1995