



SMIFS CAPITAL MARKETS LIMITED

September 24, 2016

The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001

Dear Sir,

Re: AGM of the Company held on September 24, 2016 at 10.00 a.m.

Sub: Details of the Voting Results as per Regulation 44(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

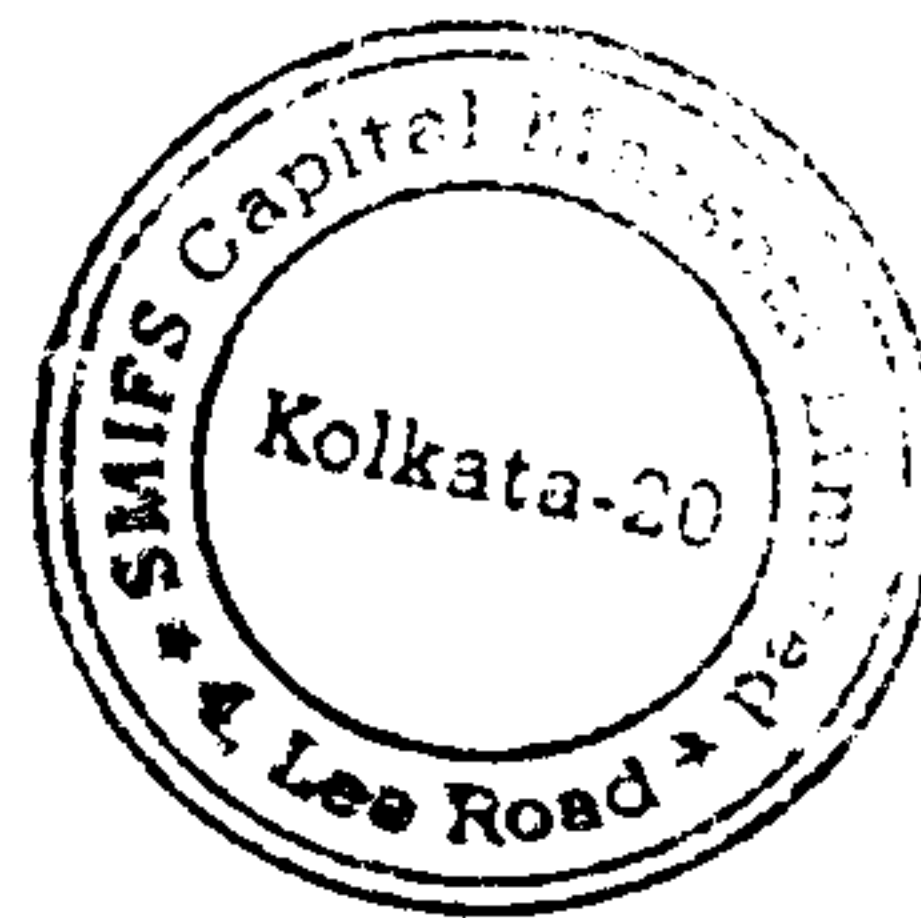
This is for your kind information that the Annual General Meeting of the Company was held on September 24, 2016 at Rrangoli Banquet Hall, 'Vaibhav', 4, Lee Road, Ground Floor, Kolkata - 700 020 at 10.00 a.m. We are forwarding you the Voting Results as per Regulation 44(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Please Acknowledge.

Yours Faithfully
For SMIFS CAPITAL MARKETS LIMITED

Poonam Bhatia

(Poonam Bhatia)
Company Secretary-cum- Senior Manager Legal



SEEMA SHARMA

Company Secretary in Whole Time Practice

47, East Ghosh Para Road, Nimtalla More

Authpur, Shyamnagar

24 Parganas (North) - 743128

Mobile No.: +91 97483 03806

E-mail: csseemasharma@gmail.com

**REPORT OF SCRUTINIZER
COMBINED REPORT
(E-VOTING & POLL)**

September 24, 2016

To

**The Chairman,
The Board of Directors,
Smifs Capital Markets Limited
4 Lee Road, Vaibhav
4th Floor,
Kolkata-700020**

Sub: Combined Scrutinizer's Report on e-voting and Poll pursuant to the provisions of the section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014, on the agenda items transacted at the Thirty Third Annual General Meeting (AGM) of the Company held on Saturday 24th September, 2016 at 10.00 a.m at Rrangoli Banquet Hall Vaibhav, 4 Lee Road, Kolkata-700020

Dear Sir,

I, **Seema Sharma**, a Practicing Company Secretary (ACS-24160 / CP-11210), had been appointed as the Scrutinizer by the Board of Directors of '**SMIFS CAPITAL MARKETS LIMITED**' pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014, as amended, to conduct the remote e-voting process and voting by poll taken in respect of the below mentioned resolutions passed at the 33rd Annual General Meeting of the "**Company**" held on September 24, 2016 at Rrangoli Banquet Hall, Vaibhav, 4 Lee Road, Kolkata-700 020 at 10.00 a.m.

The Notice dated **30th May 2016** along with statement setting out material facts under section 102 of the Act were sent to shareholders in respect of the below mentioned resolutions passed at the AGM of the Company.

The Public Advertisement with respect to dispatch of Notices & conducting of voting through electronic means was published in a English Newspaper "**Business Standard**"



Seema Sharma

Certified True Copy
For SMIFS CAPITAL MARKETS LIMITED
Poonam Bhatia
(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

SEEMA SHARMA

Company Secretary in Whole Time Practice

47, East Ghosh Para Road, Nimtalla More

Authpur, Shyamnagar

24 Parganas (North) - 743128

Mobile No.: +91 97483 03806

E-mail: csseemasharma@gmail.com

and in a Bengali Newspaper "Ek Din" having wide circulation, in their respective editions dated **24th August 2016**.

The Management of the Company is responsible to ensure the compliance with the requirements of the 2013 Act and Rules relating to e-voting and Poll on the resolutions contained in the Notice to the 33rd AGM of the members of the Company. My responsibility as a Scrutinizer for the e-voting process is restricted to make a **Scrutinizer's Report** on the votes cast "**in favour**" or "**against**" or "**abstained**", in respect of the resolutions stated below, based on the reports generated from the e-voting system provided by **Central Depository Services (India) Limited (CDSL)**, the authorized agency engaged by the Company to provide e-voting facilities for e-voting.

The Company had also provided for voting through Poll at the AGM venue at the 33rd Annual General Meeting, to the shareholders who had not cast their votes earlier through remote e-voting facility.

The Members of the Company as on the "Cut off" date i.e. **September 17 2016 (Saturday)** were entitled to vote on the resolutions (items Nos. 1 to 4 as set out in the notice of the 33rd Annual General Meeting of the Company)

Further to the above, I submit my report as under:

Remote e-voting

1. The e-voting period remained open from **Tuesday, September 20, 2016 (10.00 A.M)** to **Friday, September 23, 2016 (5.00 P.M)**(both days inclusive).
2. The votes cast under remote e-voting facility were thereafter unblocked in presence of two witnesses who were not in employment of the Company.
3. The Corporate Members who had participated in the remote e-voting had provided scanned copy of the resolution passed at their Board of Directors Meeting for authorization to exercise their votes through e-voting.

Voting through Poll at the AGM Venue

1. The Company had provided the facility for voting through Poll at the AGM and Members, who have not cast their vote by remote e-voting, were allowed to exercise their right to vote at the meeting.



Seema Sharma

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Poonam Bhatia

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

SEEMA SHARMA

Company Secretary in Whole Time Practice

47, East Ghosh Para Road, Nimtalla More

Autspur, Sityamnagar

24 Parganas (North) - 743128

Mobile No.: +91 97483 03806

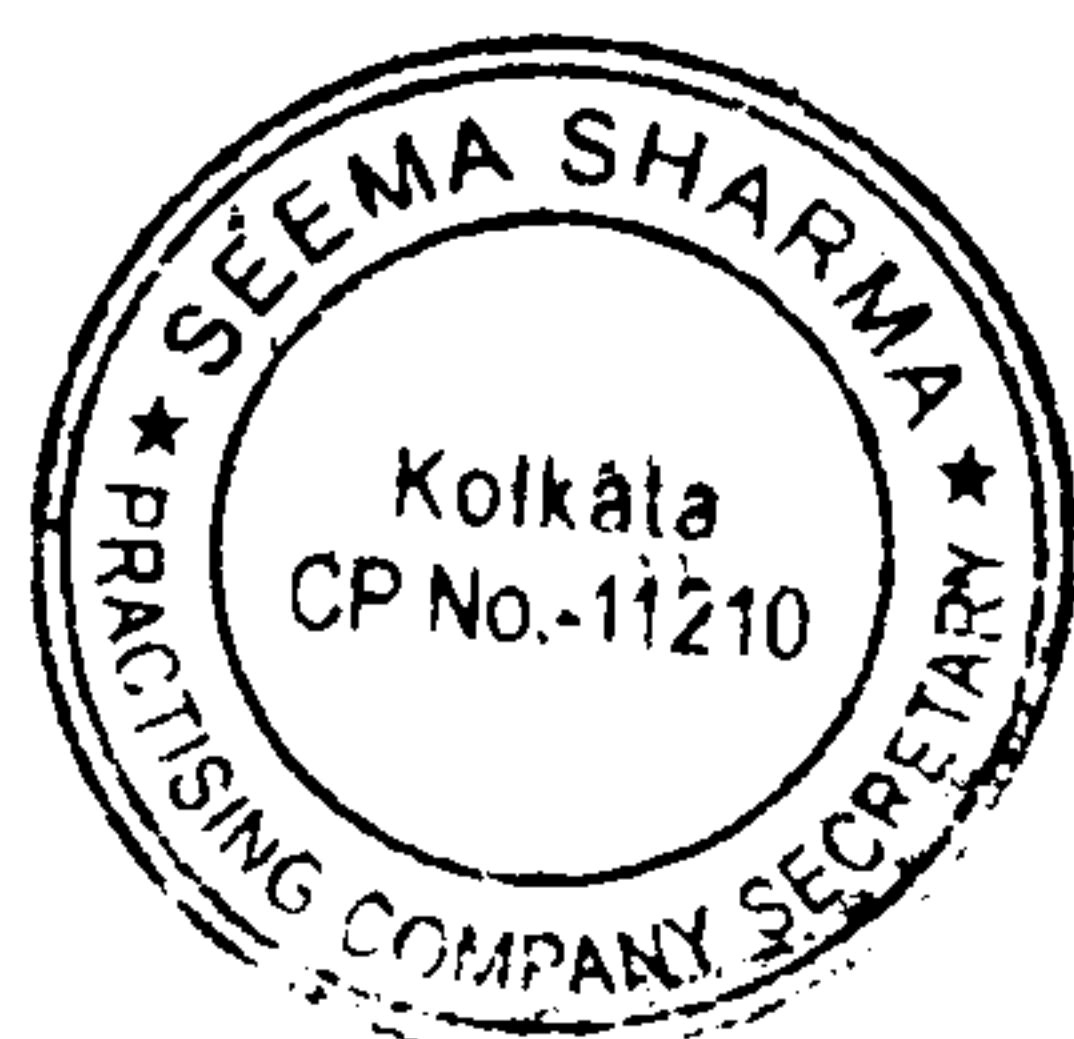
E-mail: csseemasharma@gmail.com

- The Polling papers in form MGT-12 as per section 109(5) of the Act & Rule 21(1)(c) of the Companies (Management and Administration) Rules, 2014 were distributed to shareholders present. The Shareholders cast their votes in the ballot box kept at the convenient place in the Venue.
- The locked ballot box was subsequently opened before me, in presence of two witnesses who were not in employment of the Company and Ballot papers were diligently scrutinized. The Ballot papers were reconciled with the records maintained by the Company and the authorizations/proxies lodged with the Company.
- There were **16,88,850 invalid votes (12 follos)** for Item No 3 and **10 (1Follo)** abstained votes for all the Items.

Thereafter, the consolidated results containing, inter alia, list of Equity Shareholders, who voted "for", "against" or "abstained", in respect of the each of the resolutions that were put to vote, were generated from the e-voting website of **Central Depository Services (India) Limited (CDSL)** i.e <https://www.evotingindia.com> and voting through Poll at the AGM Venue was prepared.

The consolidate Results are as follows:

Item no. 1 of the Notice (as an Ordinary Resolution)		To receive, consider and adopt the Audited Balance Sheet of the Company as at March 31, 2016, the Statement of Profit & Loss and the Cash Flow Statements for the year ended on that date and the reports of the Board of Directors' and Auditors' thereon							
Voting Method	Total Valid Votes	Votes in favour of the Resolution			Votes against the Resolution			Invalid Votes	Abstained Votes
		No of folios	No of shares	% of total no of valid votes cast	No of folios	No of shares	% of total no of valid votes cast		
Remote e-voting	2948492	26	2948492	100	-	-	-	-	-
Voting through Poll at AGM Venue	1002840	53	2948492	100	-	-	-	-	10
Total	3951332	79	3951332	100	-	-	-	-	10



Seema Sharma

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Poonam Bhatia

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

SEEMA SHARMA

Company Secretary in Whole Time Practice

47, East Ghosh Para Road, Nimtalla More

Authpur, Shyamnagar

24 Parganas (North) - 743128

Mobile No.: +91 97483 03806

E-mail: csseemasharma@gmail.com

Item no. 2 of the Notice (as an Ordinary Resolution)		To declare divided for the year ended March 31, 2016.							
Voting Method	Total Valid Votes	Votes in favour of the Resolution			Votes against the Resolution			Invalid Votes	Abstained Votes
		No of folios	No of shares	% of total no of valid votes cast	No of folios	No of shares	% of total no of valid votes cast		
Remote e-voting	2948492	26	2948492	100	-	-	-	-	-
Voting through Poll at AGM Venue	1002840	53	2948492	100	-	-	-	-	10
Total	3951332	79	3951332	100	-	-	-	-	10

Item no. 3 of the Notice (as an Ordinary Resolution)		To appoint Director in place of Mr. Ajay Kumar Kayan (DIN 00239123), who retires by rotation and being eligible, offers himself for re-appointment.							
Voting Method	Total Valid Votes	Votes in favour of the Resolution			Votes against the Resolution			Invalid Votes	Abstained Votes
		No of folios	No of shares	% of total no of valid votes cast	No of folios	No of shares	% of total no of valid votes cast		
Remote e-voting	2948492	16	1264742	42.89	-	-	-	1683750	-
Voting through Poll at AGM Venue	1002840	51	997740	99.49	-	-	-	5100	10
Total	3951332	67	2262482	57.26	-	-	-	1688850	10

Certified True Copy



Seema Sharma

16/03/2016

Roopam Chakrabarti

Roopam Chakrabarti
Company Secretary
Cum-Senior Manager, Legal

SEEMA SHARMA

Company Secretary in Whole Time Practice

47, East Ghosh Para Road, Nimtalla More

Authipur, Shyamnagar

24 Parganas (North) - 743128

Mobile No.: +91 97483 03806

E-mail: csseemasharma@gmail.com

Voting Method	Total Valid Votes	Votes in favour of the Resolution			Votes against the Resolution			Invalid Votes	Abstained Votes
		No of folios	No of shares	% of total no of valid votes cast	No of folios	No of shares	% of total no of valid votes cast		
Remote e-voting	2948492	26	2948492	100	-	-	-	-	-
Voting through Poll at AGM Venue	1002840	53	2948492	100	-	-	-	-	10
Total	3951332	79	3951332	100	-	-	-	-	10

All the Resolutions have been passed with requisite majority.

The Data Sheet relating to e-voting/Poll papers are in safe custody of the undersigned, and it will be handed over to the Company.

Thanking you,

Yours faithfully,

Seema Sharma

Seema Sharma

Practicing Company Secretary



M. No. - ACS 24160

C.P No. 11210

Certified True Copy

For SANGI CHEMICALS LIMITED

Pranali Banerjee

(POORVA) SENIOR
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

SEEMA SHARMA

Company Secretary in Whole Time Practice

47, East Ghosh Para Road, Nimtalla More

Authpur, Shyamnagar

24 Parganas (North) - 743128

Mobile No.: +91 97483 03806

E-mail: csseemasharma@gmail.com

We, the undersigned witness that the votes in respect of e-Voting of Shareholders of SMIFS Capital Markets Limited were unblocked from e-Voting website of Central Depository (Services) India Limited in our presence at 10.28 A.M. on 24th September, 2016.

Vikash Rathi

Vikash Rathi
23 Janata Sarani, Eliza-X
1st Floor, Hind Motor-712233

Sapna Pasari

Sapna Pasari
7E, Motijheel Avenue, Sparsha
Apartment, Kolkata-700074

Countersigned by
For **SMIFS Capital Markets Limited**

Utsav Parekh

Utsav Parekh
Chairman
DIN:00027642

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Poonam Bhatia

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

Format for Voting Results

Pursuant to Regulation 44 of SEBI (Listing Obligations & Disclosure Requirements) Regulations,2015, the requisite information relating to the Annual General Meeting (AGM) of the Company, held on Saturday, 24th September, 2016 at 10.00 a.m. at Rrangoli Banquet Hall, 'Vaibhav', 4, Lee Road, Ground Floor, Kolkata - 700 020, is furnished below:

Date of the AGM	24th September, 2016
Total Number of Shareholders on record date	1400
No. of Shareholders present in the meeting either in person or through proxy <ul style="list-style-type: none">• Promoters & Promoter Group• Public	10 307
No. of Shareholders present in the meeting through Video Conferencing <ul style="list-style-type: none">• Promoters & Promoter Group• Public	Nil

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Poonam Bhatia

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

SMIFS CAPITAL MARKETS LIMITED - CIN No: L74300WB1983PLC036342

Regulation 44 of SEBI (Listing Obligations & Disclosure Requirements) Regulations,2015

Resolution No. 1 (ORDINARY RESOLUTION)

Whether Promoter/Promoter Group are interested in the agenda/resolution?		No		%		No of votes in favour		No of votes against		%	
		1	2	3=(2/1*100)	4	5	6=(4/2)*100	7=(5/2)*100			
Category	Mode of Voting	No of Shares held	No of votes polled	% of votes polled on outstanding shares	No of votes - in favour	No of votes- against	% of votes in favour on votes polled	% of votes against on votes polled			
1 Promoter and Promoter Group	Evoting	2393451	2393451	100	2393451	-	100	-			
	Poll	100	100	100	100	-	100	-			
	Postabl Ballot(if applicable)	-	-	-	-	-	-	-			
	Total	2393551	2393551	100	2393551	0	100	-			
2 Public Institutions	Evoting	568638	568638	100	568638	-	100	-			
	Poll	539090	539090	100	539090	-	100	-			
	Postabl Ballot(if applicable)	-	-	-	-	-	-	-			
	Total	1107728	1107728	100	1107728	0	100	-			
3	Evoting	11500	11500	100	11500	-	100	-			
	Poll	438553	438553	100	438553	-	100	-			

Certified True Copy

for SMIFS CAPITAL MARKETS LIMITED
Pooja Bhatia
 (POOJA BHATIA)

COMPANY SECRETARY
 CUM-SENIOR MANAGER LEGAL

SMIFS CAPITAL MARKETS LIMITED - CIN No: L74300WB1983PLC036342

Regulation 44 of SEBI (Listing Obligations & Disclosure Requirements) Regulations,2015

Resolution No. 2 (ORDINARY RESOLUTION)

	Whether Promoter/Promoter Group are interested in the agenda/resolution?	No													
B.															
Whether Promoter/Promoter Group are interested in the agenda/resolution?	No	No of Shares held	No of votes polled	% of votes polled on outstanding shares	No of votes-in favour	No of votes-against	% of votes in favour on votes polled	% of votes against on votes polled							
Category	Mode of Voting	1	2	3 = (2/1*100)	4	5	6=(4/2)*100	7=(5/2)*100							
1 Promoter and Promoter Group	Evoting	2393451	2393451	100	2393451	-	100	-							
	Poll	100	100	100	100	-	100	-							
	Postabl Ballot(if applicable)	-	-	-	-	-	-	-							
	Total	2393551	2393551	100	2393551	0	100	-							
2 Public Institutions	Evoting	568638	568638	100	568638	-	100	-							
	Poll	539090	539090	100	539090	-	100	-							
	Postabl Ballot(if applicable)	-	-	-	-	-	-	-							
	Total	1107728	1107728	100	1107728	0	100	-							
3	Evoting	11500	11500	100	11500	-	100	-							
	Poll	438553	438553	100	438553	-	100	-							
	Total	449953	449953	100	449953	0	100	-							

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED
Poonam Bhatia

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

Public Non-Institutions	Postabl Ballot(if applicable)		450053	450053	100	450053	0	100		
	Total									

Certified True Copy

FOR SMIFS CAPITAL MARKETS LIMITED

Poonam Bhatia

(POONAM BHATIA)
 COMPANY SECRETARY
 CUM-SENIOR MANAGER LEGAL

SMIFS CAPITAL MARKETS LIMITED - CIN No: L74300WB1983PLC036342
Regulation 44 of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

Resolution No. 3 (ORDINARY RESOLUTION)

C.	Whether Promoter/Promoter Group are interested in the agenda/resolution? Yes	Category	Mode of Voting		% of votes polled on outstanding shares 3 = (2/1*100)	No of votes-in favour 4	No of votes-against 5	% of votes in favour on votes polled 6 = (4/2)*100	% of votes against on votes polled 7 = (5/2)*100	
			No of Shares held 1	No of votes polled 2						
1	Promoter and Promoter Group	Evoing	2393451	712701	29.78	712701	-	100	-	
			Poll	100	100	100	100	-	-	
			Postabl Ballot(if applicable)	-	-	-	-	0	100	-
			Total	2393551	712801	29.78	712801	0	100	-
			Evoing	568638	568638	100	568638	-	100	-
			Poll	539090	534090	99.073	534090	-	100	-
			Postabl Ballot(if applicable)	-	-	-	-	0	-	-
			Total	1107728	1102728	99.55	1102728	0	100	-
			Evoing	11500	8500	73.91	8500	-	100	-
			Poll	438553	438553	100	438553	-	100	-
2	Public Institutions	Total	1107728	1102728	99.55	1102728	0	100	-	
			Evoing	11500	8500	73.91	8500	-	100	-
			Poll	438553	438553	100	438553	-	100	-
3		Total	1107728	1102728	99.55	1102728	0	100	-	
			Evoing	11500	8500	73.91	8500	-	100	-
			Poll	438553	438553	100	438553	-	100	-

100 Certified true Copy

For SMIFS CAPITAL MARKETS LIMITED

For SMIFS CAPITAL MARKETS LIMITED

(POORNIMA)
 COMPANY SECRETARY
 CUM-SENIOR MANAGER LEGAL

		Postabl Ballot(if applicable)																	
	Public Non-Institutions	Total	450053	447053	99.33	447053	-	0	100	-	-								

Certified True Copy

FOR SMIFS CAPITAL MARKETS LIMITED

Poonam Bhatia

(POONAM BHATIA)
 COMPANY SECRETARY
 CUM-SENIOR MANAGER LEGAL

SMIFS CAPITAL MARKETS LIMITED - CIN No: L74300WB1983PLC036342

Regulation 44 of SEBI (Listing Obligations & Disclosure Requirements) Regulations,2015

Resolution No. 4(ORDINARY RESOLUTION)

D.	Whether Promoter/Promoter Group are interested in the agenda/resolution?	Mode of Voting	No of Shares held		No of votes polled		% of votes polled on outstanding shares	No of votes-in favour		No of votes-against		% of votes in favour on votes polled		% of votes against on votes polled		
			1	2	3 = (2/1*100)	4		5	6=(4/2)*100	7=(5/2)*100						
1	Promoter and Promoter Group	Evoing	2393451	2393451	100	2393451	-	100	-	-	-	-	-	-	-	
			Poll	100	100	100	100	-	-	-	-	-	-	-	-	
		Postabl Ballot(if applicable)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
			Total	2393551	2393551	100	2393551	0	100	-	-	-	-	-	-	-
		Evoing	568638	568638	100	568638	-	100	-	-	-	-	-	-	-	-
			Poll	539090	539090	100	539090	-	100	-	-	-	-	-	-	-
		Postabl Ballot(if applicable)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
			Total	1107728	1107728	100	1107728	0	100	-	-	-	-	-	-	-
		Evoing	11500	11500	100	11500	-	100	-	-	-	-	-	-	-	-
			Poll	438553	438553	100	438553	-	100	-	-	-	-	-	-	-
2	Public Institutions	Total	1107728	1107728	100	1107728	0	100	-	-	-	-	-	-	-	
			1107728	1107728	100	1107728	0	100	-	-	-	-	-	-	-	
3		Evoing	11500	11500	100	11500	-	100	-	-	-	-	-	-	-	
			Poll	438553	438553	100	438553	-	100	-	-	-	-	-	-	-

Certified True Copy

(POCNAM BHATIA)
 COMPANY SECRETARY
 CUM-SENIOR MANAGER LEGAL

		Public Non- Institutions	Postabl Ballot(if applicable) Total	-	450053	-	450053	-	100	450053	-	0	-	100	-	-

Certified True Copy

FOR SMIFS CAPITAL MARKETS LIMITED

Poonam Bhatia
(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL