

SMIFS CAPITAL MARKETS LIMITED

September 2.3, 2017

The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001

Dear Sir,

Re: AGM of the Company held on September 23, 2017 at 10.00 a.m.

Sub: <u>Details of the Voting Results as per Regulation 44(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015</u>

This is for your kind information that the Annual General Meeting of the Company was held on September 23, 2017 at Rrangoli Banquet Hall, 'Vaibhav', 4, Lee Road, Ground Floor, Kolkata - 700 020 at 10.00 a.m. We are forwarding you the Voting Results as per Regulation 44(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Please Acknowledge.

Yours Faithfully

For SMIFS CAPITAL MARKETS LIMITED

(Poonam Bhatia)

Company Secretary-cum- Senior Manager Legal



SEEMA SHARMA

Company Secretary in Whole Time Practice

47, East Ghosh Para Road, Nimtalla More

Authpur, Shyamnagar

24 Parganas (North) - 743128

Mobile No.: +91 97483 03806

E-mail: csseemasharma@gmail.com

REPORT OF SCRUTINIZER COMBINED REPORT (E-VOTING & POLL)

September 23, 2017

To

The Chairman,
The Board of Directors,
Smifs Capital Markets Limited
4 Lee Road, Vaibhav
4thFloor,
Kolkata-700020

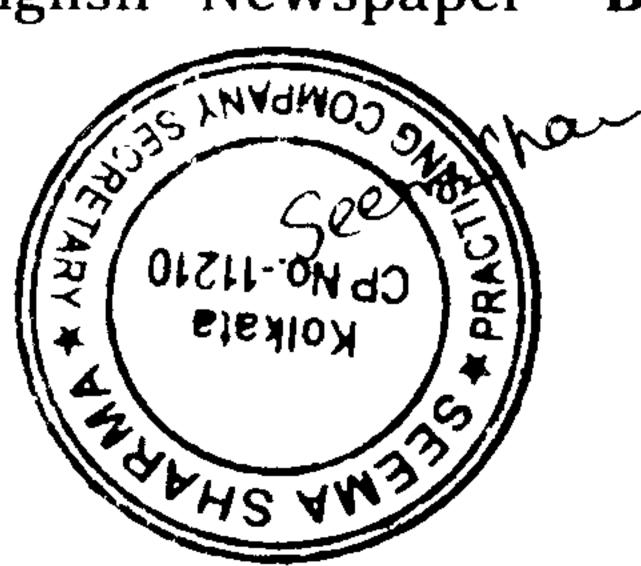
Sub: Combined Scrutinizer's Report on e-voting and Poll pursuant to the provisions of the section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014, on the agenda items transacted at the Thirty Fourth Annual General Meeting (AGM) of the Company held on Saturday 23rd September, 2017 at 10.00 A.M at Rrangoli Banquet Hall Vaibhav, 4 Lee Road, Kolkata-700020

Dear Sir,

I, **Seema Sharma**, a Practicing Company Secretary (ACS-24160 / CP-11210), had been appointed as the Scrutinizer by the Board of Directors of 'SMIFS CAPITAL MARKETS LIMITED' pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014, as amended, to conduct the remote e-voting process and voting by poll taken in respect of the below mentioned resolutions passed at the 34th Annual General Meeting of the "Company" held on September 23, 2017 at Rrangoli Banquet Hall, Vaibhav, 4 Lee Road, Kolkata-700 020 at 10.00 A.M.

The Notice dated 23rd May, 2017 along with statement setting out material facts under section 102 of the Act were sent to shareholders in respect of the below mentioned resolutions passed at the AGM of the Company.

The Public Advertisement with respect to dispatch of Notices & conducting of voting through electronic means was published in an English Newspaper "Business



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Standard" and in a Bengali Newspaper "Ek Din" having vide circulation, in their respective editions dated 19th August, 2017.

The Management of the Company is responsible to ensure the compliance with the requirements of the 2013 Act and Rules relating to e-voting and Poll on the resolutions contained in the Notice to the 34th AGM of the members of the Company. My responsibility as a Scrutinizer for the e-voting process is restricted to make a **Scrutinizer's Report** on the votes cast "in favour" or "against" or "abstained", in respect of the resolutions stated below, based on the reports generated from the e-voting system provided by **Central Depository Services (India) Limited (CDSL)**, the authorized agency engaged by the Company to provide e-voting facilities for e-voting.

The Company had also provided for voting through Poll at the AGM venue at the 34th Annual General Meeting to the shareholders who had not cast their votes earlier through remote evoting facility.

The Members of the Company as on the "Cut off" date i.e. **September 16, 2017 (Saturday)** were entitled to vote on the resolutions (items Nos. 1 to 5 as set out in the notice of the 34th Annual General Meeting of the Company)

Further to the above, I submit my report as under:

Remote e-voting

- 1. The e-voting period remained open from Tuesday, September 19, 2017 (10.00 A.M) to Friday, September 22, 2017 (5.00 P.M) (both days inclusive).
- 2. The votes cast under remote e-voting facility where thereafter unblocked in presence of two witnesses who were not in employment of the Company.
- 3. The Corporate Members who had participated in the remote e-voting had provided scanned copy of the resolution passed at their Board of Directors Meeting for authorization to exercise their votes through e-voting.

Voting through Poll at the AGM Venue

1. The Company had provided the facility for voting through Poll at the AGM and Members, who have not cast their vote by remote e-voting, were allowed to exercise their right to vote at the meeting.

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47, East Ghosh Para Road, Nimtalla More Authpur, Shyamnagar 24 Parganas (North) - 743128 Mobile No.: +91 97483 03806

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- 2. The Polling papers in form MGT-12 as per section 109(5) of the Act & Rule 21(1)(c) of the Companies (Management and Administration) Rules, 2014 were distributed to shareholders present. The Shareholders cast their votes in the ballot box kept at the convenient place in the Venue.
- 3. The locked ballot box was subsequently opened before me, in presence of two witnesses who were not in employment of the Company and Ballot papers were diligently scrutinized. The Ballot papers were reconciled with the records maintained by the Company and the authorizations/proxies lodged with the Company.
- 4. There were **03 invalid votes** for all the items and **Nil abstained votes** for all the Items.

Thereafter, the consolidated results containing, inter alia, list of Equity Shareholders, who voted "for", "against" or "abstained", in respect of the each of the resolutions that were put to vote, were generated from the e-voting website of **Central Depository Services (India) Limited (CDSL) i.e.** https://www.evotingindia.com and voting through Poll at the AGM Venue was prepared.

The consolidate Results are as follows:

Item no Notice Ordinary Resolution	(as an	March 31	e, consider and the State on the state of th	tatement o	f Profit &	Loss and	the Cash F	low Stater	nents for
Voting Metho	Total Valid	Vote	s in favour o	fthe		es agains Resolutio		Invalid Votes	Abstain ed
d	Votes	No of folios	No of shares	% of total no of valid votes cast	No of folios	No of share	% of total no of valid votes cast		Votes
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Voting throug h Poll at AGM Venue	574126	32	574124	99.999	1	2	.000	03	-
Total	3605594	69	3605501	99.997	3	93	0.003	03	_

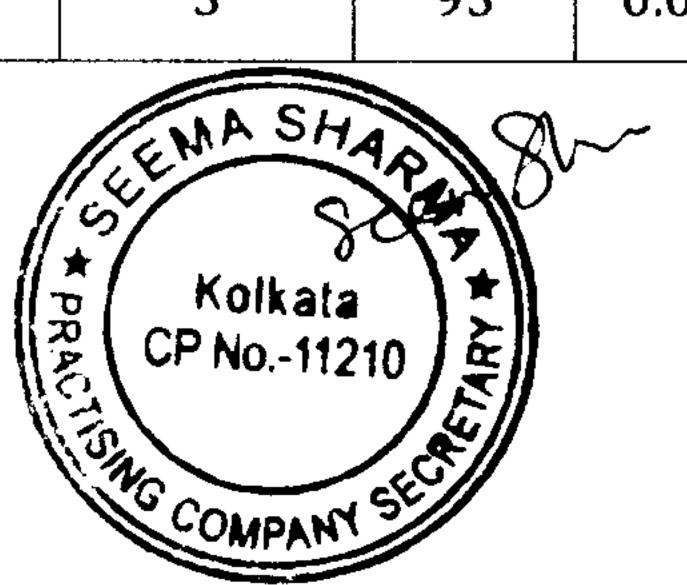
47, East Ghosh Para Road, Nimtalla More Authpur, Shyamnagar

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Total	3605594	69	3605501	99.997	3	93	0.003	03	-



Company Secretary in Whole Time Practice

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Item no. Notice Ordinary Resolutio	4 of the (as an	hold of	oint M/s. S. fice from conclusion of to fix their i	nclusion of he Thirty F	the Thirtifth Annua	y Fourth	Annual Ge	neral Mee	ting until
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Voting through Poll at AGM Venue	574126	32	574124	99.999	1	2	.000	03	
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Voting through Poll at AGM Venue	574126	32	574124	99.999	1	2	.000	03	-
Total	3605594	69	3605501	99.997	3	93	0.003	03	_

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All the Resolutions have been passed with requisite majority.

Kolkata

CP No.-11210

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The Data Sheet relating to e-voting/Poll papers are in safe custody of the undersigned, and it will be handed over to the Company.

Thanking you,

Yours faithfully,

Seema Sharma

Practicing Company Secretary

M. No. - ACS 24160 C.P No. 11210

We, the undersigned witness that the votes in respect of e-Voting of Shareholders of SMIFS Capital Markets Limited were unblocked from e-Voting website of Central Depository (Services) India Limited in our presence at 10.28 A.M. on 23rd September, 2017.

Vikash Rathi

23 Janata Sarani, Eliza-X

1st Floor, Hind Motor-712233

Sapna Pasari

7E, Motijheel Avenue, Sparsha

Apartment, Kolkata-700074

Countersigned by

For SMIFS Capital Markets Limited

Ulm Parell

Utsav Parekh Chairman

DIN: 00027642

Format for Voting Results

Pursuant to Regulation 44 of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, the requisite information relating to the Annual General Meeting (AGM) of the Company, held on Saturday, 23rd September, 2017 at 10.00 a.m. at Rrangoli Banquet Hall, 'Vaibhav', 4, Lee Road, Ground Floor, Kolkata - 700 020, is furnished below:

Date of the AGM	23 rd September, 2017	
Total Number of Shareholders on record date	1360	·· • • • • • • • • • • • • • • • • • •
No. of Shareholders present in the meeting either in person or through proxy • Promoters & Promoter Group • Public	13 327	
No. of Shareholders present in the meeting through Video Conferencing • Promoters & Promoter Group • Public	Nil	

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						Resolution No.	o. 1 (ORDINARY	Y RESOLUTION)			
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	Promoter/Promoter										
	Group are interested				· · · · · · · · · · · · · · · · · · ·						
	in the										
A.	agenda/resolution?	No									
	<u> </u>										
					% of votes						
			No of		polled on	No of		% of votes in	% of votes	•	
			Shares	No of votes	outstanding	votes- in	No of votes-	favour on	against on		
	Category	Mode of Voting	held	polled	shares	favour	against	votes polled	votes polled		
			-	2	3= (2/1*100)		5	6=(4/2)*100	7=(5/2)*100		
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		Pofi	100	100		100	0	100	0		
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1	Promoter and	applicable)	1	•	•	•	*	•			
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		Evoting	0	0	0	0	0	0	0		
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		Poli	24026	24026	100	24024	2	66.66	0.01		
		Postal Ballot(if									
		applicable)	,	•	Ŀ	-			-		
	Public Non-Institutions	Total	662043	662043	100	661950	93	99.99	0.01		

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Total		Postal Ballot(if	Poll	Evoting		Total	applicable)	Postabl Ballot(if	Poll	Evoting	Total	applicable)	Postabl Ballot(if	Poli	Evoting		Mode of Voting	•			No								
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For SMIFS CAPITAL MARKETS LIMITED

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(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

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For SMIFS CAPITAL MARKETS LIMITED

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

FOR SMIFS CAPITAL MARKETS LIMITED

FOR SMIFS CAPITAL MARKETS LIMITED

(POONAM BHATIA)

COMPANY SECRETARY

CUM-SENIOR MANAGER LEGAL

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