

SMIFS CAPITAL MARKETS LIMITED.

Regd Office : 'Vaibhav' 4F, 4, Lee Road,
Kolkata - 700 020
CIN NO: L74300WB1983PLC036342
Tel No. 033-2290-7400/ 7401/7402/0544
Fax No. 033-2287-4042, 2240-6884
E-mail: smifscap@vsnl.com, cs.smifs@gmail.com
Website: www.smifscap.com

NOTICE TO SHAREHOLDERS

For transfer of shares to the Investor Education and protection Fund (IEPF) Account

(As per Section 124(6) of the Companies Act, 2013)

In terms of requirements of Section 124(6) of the Companies Act, 2013 read with Investor Education and Protection Fund (IEPF) Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 ("the rules"), the Company is required to transfer the shares, in respect of which the dividend remains unpaid or unclaimed for a period of seven consecutive years or more to the IEPF Account established by the Central Government.

The Company has sent individual communication to the concerned shareholders who have not encashed the final dividend for the financial year 2009-10 and all subsequent dividends declared and paid by the Company, which are liable to be transferred to IEPF Accounts as per the said Rules.

A list of such shareholders who have not encashed their dividends for seven consecutive years and whose share and dividend amount are therefore liable for transfer to IEPF Account is displayed on the website of the Company www.smifscap.com

Shareholders are requested to forward the requisite documents as mentioned in said communication to the Company's Registrar and Share Transfer Agent on or before 1st November, 2017 to claim the shares and unclaimed dividend amount(s). Notice is hereby given that in the absence of receipt of a valid claim by the shareholder, the Company would be transferring the said shares to IEPF Account without further notice in accordance with the requirement of the said Rules.

Please note that no claim shall lie against the Company in respect of unclaimed dividend amount and shares transferred to IEPF pursuant to the said Rules. Please note that, upon such transfer, shareholders can claim the transferred shares along with dividends from the IEPF, for which details are available at www.iepf.gov.in.

For any information/ clarifications on this matter, concerned shareholders may write to the Company at smifscap@vsnl.com / cs.smifs@gmail.com or contact the Company's Registrar and Share Transfer Agent- M/s Maheshwari Datamatics Private Limited, 6, Mangoe Lane, 2nd Floor, Kolkata-700 001. Tel.: 033- 2243 5029/ 2248 2248. Email: mdpldc@yahoo.com.

For SMIFS Capital Markets Limited
Sd/-
(Poonam Bhatia)

Company Secretary-cum-
Senior Manager Legal

Place : Kolkata

Date : August 12, 2017

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED
Poonam Bhatia
(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL