



# SMIFS CAPITAL MARKETS LIMITED

Date: August 12, 2016

The Secretary  
BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai 400 001

Dear Sir,

**Sub: Appointment of Secretarial Auditor as per Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

The Board of Directors of the Company in its meeting held today i.e. August 12, 2016 at 11.00 A.M.. appointed the Secretarial Auditor, M/s K.Arun & Co. for the Financial Year 2016-2017.

The Secretarial Auditor's Letter of Appointment and the Consent Letter given by the Secretarial Auditor to the Company is attached along with this letter for your kind perusal.

Thanking you,

Yours faithfully,

For SMIFS Capital Markets Limited,

*Poonam Bhatia*

(Poonam Bhatia)  
Company Secretary-cum-Senior Manager Legal



Encl: As above



# SMIFS CAPITAL MARKETS LIMITED

August 12, 2016

To,  
M/s K Arun & Co.  
Company Secretaries  
'Shantiniketan'  
8, Camac Street,  
8<sup>th</sup> Floor, Suite : 807  
Kolkata - 700017

Dear Sir,

**Sub: Appointment as Secretarial Auditor for the financial year 2016-17**

We are pleased to inform you that the Board of Directors of the Company at their meeting held today i.e. 13<sup>th</sup> August, 2016 have appointed you as the Secretarial Auditor for conducting the Secretarial Audit for the Financial Year 2016-17 in accordance with Section 204 of the Companies Act, 2013 and Rule 9 of Companies (Appointment and Remuneration of Managerial Personnel) Rules 2014.

Kindly acknowledge the receipt of this letter.

Thanking You,

Yours faithfully,

For SMIFS Capital Markets Limited

(Poonam Bhatia)  
Company Secretary-cum-Senior Manager Legal



August 12, 2016

To,  
M/s. Smifs Capital Markets Limited  
"VAIBHAV" 4F  
4 Lee Road,  
Kolkata - 700 020

Dear Sir,

**Subject: - Consent to act as Secretarial Auditor.**

We are writing this consent letter with reference to our conversation regarding carrying out secretarial audit.

We hereby give our consent to act as the Secretarial Auditor of your company for the financial year 2016-2017.

The quotation for the same shall mutually agree by us plus applicable service tax and out of pocket expenses on actual basis.

We look forward to a great working relationship with you. We thank you for your time and wish you the best on our behalf. We are committed to provide the best services always and we ensure you that our full team will be dedicated in providing you the best of our knowledge and experience.

For K. Arun & Co.  
Company Secretaries

*Prateek Kohli*

Prateek Kohli  
Partner  
CP No. 16457

