

Introductory sub-table (I)(a)

Name of the Company: SMIFS CAPITAL MARKETS LIMITED			
Scrip Code:508905 Name of the scrip:SMIFS CAP MA [BSE] class of security: Equity			
Quarter ended: 30.09.2013			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - D	0	0	0
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - E	0	0	0
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - F	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities 5585000 of Rs. 10/- each			

Statement Showing Shareholding Pattern								
Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
			(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group²							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	15	850150	850150	15.22	15.22	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	4	1856901	1856901	33.25	33.25	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
(e-i)								
(e-ii)								
	Sub Total(A)(1)	19	2707051	2707051	48.47	48.47	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
d-i								
d-ii								
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and	19	2707051	2707051	48.47	48.47	0	0.00

(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00
(b)	Financial Institutions / Banks	1	101000	101000	1.80	1.80	0	0.00
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	1	550000	550000	9.85	9.85	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
(h-i)								
(h-ii)								
	Sub-Total (B)(1)	2	651000	651000	11.66	11.66	0	0.00
B 2	Non-institutions							
(a)	Bodies Corporate	66	1636964	1619164	29.31	29.31	0	0.00
(b)	Individuals							
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	960	508413	427824	9.10	9.10	0	0.00
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	2	27320	27320	0.49	0.49	0	0.00
(c)	Any Other (specify)							
(c-i)	Non Resident Individual	7	54252	1252	0.97	0.97	0	0.00
(c-ii)	Clearing Member				0.00	0.00	0	0.00
	Sub-Total (B)(2)	1035	2226949	2075560	39.87	39.87	0	0.00

(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	1037	2877949	2726560	51.53	51.53	0	0.00
	TOTAL (A)+(B)	1056	5585000	5433611	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0	0.00	0	0.00
2	Public	0	0	0	0	0.00	0	0
	Sub-Total (C)	0	0	0	0	0	0	0
	GRAND TOTAL (A)+(B)+(C)	1056	5585000	5433611		100.00	0	0.00

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A) + (B) + (C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	AJAY KUMAR KAYAN	301050	5.39	0	0.00	0.00	0	0	0	0	5.39
2	NILANGI PAREKH	123000	2.20	0	0.00	0.00	0	0	0	0	2.20
3	UTSAV PAREKH	96200	1.72	0	0.00	0.00	0	0	0	0	1.72
4	SEVANTILAL ANOP CHAND SHAH	75000	1.34	0	0.00	0.00	0	0	0	0	1.34
5	YOGENDRA SHAH	64500	1.15	0	0.00	0.00	0	0	0	0	1.15
6	KRISHNA SHAH	60000	1.07	0	0.00	0.00	0	0	0	0	1.07
7	KUMUDINI PAREKH	55500	0.99	0	0.00	0.00	0	0	0	0	0.99
8	PARUL SHAH	52500	0.94	0	0.00	0.00	0	0	0	0	0.94
9	LALITA KAYAN	13800	0.25	0	0.00	0.00	0	0	0	0	0.25
10	RAHUL KAYAN	7000	0.13	0	0.00	0.00	0	0	0	0	0.13
11	SUMAN BHARTIA	1500	0.03	0	0.00	0.00	0	0	0	0	0.03
12	PAYAL SARAF	100	0.00	0	0.00	0.00	0	0	0	0	0.00
13	MACKERTICH CONSULTANCY SERVICES PVT. LTD	1216900	21.79	0	0.00	0.00	0	0	0	0	21.79
14	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	357251	6.40	0	0.00	0.00	0	0	0	0	6.40
15	STEWART INVESTMENT & FINANCIAL PVT. LTD.	276750	4.96	0	0.00	0.00	0	0	0	0	4.96

16	VATICAN COMMERCIALS LIMITED	6000	0.11	0	0.00	0.00	0	0	0	0	0.11
TOTAL		2707051	48.47	0	0.00	0.00	0	0	0	0	48.47

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	THE INDIAMAN FUND (MAURITIUS) LIMITED	550000	9.85	0	0	0	0	9.85
2	COLAMA COMMERCIAL COMPANY LIMITED	273400	4.90	0	0	0	0	4.90
3	ASTRA MERCHANDISING PRIVATE LIMITED	269509	4.83	0	0	0	0	4.83
4	GEETA HOLDINGS AND CONSULTANTS PVT. LTD	257050	4.60	0	0	0	0	4.60
5	MAYA TRADE LINKS LIMITED	255000	4.57	0	0	0	0	4.57
6	SUDHA COMMERCIAL COMPANY LIMITED	140500	2.52	0	0	0	0	2.52

7	ANTRIKSH VYAPAAR LIMITED	125000	2.24	0	0	0	0	2.24
8	ICICI BANK LTD	101000	1.81	0	0	0	0	1.81
9	METALS CENTRE LTD.	74000	1.32	0	0	0	0	1.32
TOTAL		2045459	36.62	0	0	0	0	36.62

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	THE INDIAMAN FUND (MAURITIUS) LIMITED	550000	9.85	0	0	0	0	9.85
TOTAL		550000	9.85	0	0	0	0	9.85

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1			0.00
2			0.00
3			0.00
4			0.00
5			0.00
6			0.00
7			0.00
8			0.00
9			0.00
TOTAL		0	0.00

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
TOTAL		0	0	0.00

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
TOTAL			0	0.00