



ofc

# SMIFS CAPITAL MARKETS LIMITED

July 7, 2016

**The Secretary**  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata - 700 001



**Sub: Regulation 31 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015**

Dear Sir,

We are forwarding the Statement showing Shareholding as per Regulation 31 of the (Listing Obligations & Disclosure Requirements) Regulations, 2015 as on June 30, 2016 for your kind reference and record.

Kindly acknowledge.

Yours faithfully,  
**For SMIFS Capital Markets Limited**

*Poonam Bhatia*  
**(Poonam Bhatia)**  
**Company Secretary-cum-Senior Manager Legal**



Encl: a/a

Format of holding of specified securities

1. Name of Listed Entity: SMIFS CAPITAL MARKETS LTD. (CIN:L74300WB1983PLC036342)
2. Scrip Code 508905 Name of Scrip -, SMIFS CAP MA Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
  - a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/06/2016
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columnus for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



*Certified True Copy*

For SMIFS CAPITAL MARKETS LIMITED

*Poonam Bhatia*

(POONAM BHATIA)  
COMPANY SECRETARY  
CUM-SENIOR MANAGER LEGAL

SMIFS CAPITAL MARKETS LTD  
Table I - Summary Statement holding of specified securities as on 30/06/2013

Category of Shareholder	Nos. of shares held (III)	No. of fully paid up equity shares held (IV)	No of Party paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos shares held (VII)=(IV)+(VI)+(V)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding as a % assuming convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
							Class eg x	No of Voting Rights	Total		No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(A) Promoter & Promoter group	10	2393551	0	0	2393551	42.8568	0	2393551	0	42.8568	0	0.0000	0	0.0000	2393551
(B) Public	1400	3191449	0	0	3191449	57.1432	0	3191449	0	57.1432	0	0.0000	NA	NA	3041408
(C) Non Promoter - Non Public													NA	NA	
(C1) Shares underlying DRs						NA							NA	NA	
(C2) Shares held by Employee Trusts													NA	NA	
Total	1410	5585000	0	0	5585000	100.0000	0	5585000	0	100.0000	0	0.0000	NA	NA	5434959



Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Poonam Bhatia

(POONAM BHATIA)  
COMPANY SECRETARY  
CUM-SENIOR MANAGER LEGAL

