

SMIFS CAPITAL MARKETS LIMITED

October 4, 2016

The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700 001

10/10/2016
[Signature]

Sub: Regulation 31 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

Dear Sir,

We are forwarding the Statement showing Shareholding as per Regulation 31 of the (Listing Obligations & Disclosure Requirements) Regulations, 2015 as on September 30, 2016 for your kind reference and record.

Kindly acknowledge.

Yours faithfully,
For SMIFS Capital Markets Limited

Poonam Bhatia
(Poonam Bhatia)
Company Secretary-cum-Senior Manager Legal



Encl: a/a

CHALLAN (Original)

From
MAHESHWARI DATAMATICS PVT. LTD.
6 MANGO LANE, 2ND FLOOR
KOLKATA - 700001
Phone : 2243-5809/5029
Email : mdpldc@yahoo.com

To:
SMIFS CAPITAL MARKETS LTD.
4, LEE ROAD
"VAIBHAV 4F"
KOLKATA
700020

Shareholding Pattern as on 30/09/2016
Investors' Grievance Report for quarter ended 30/09/2016
Clause 54(5) Confirmation
Distribution Schedule both in value and in numbers

Date 02/10/2016

For MAHESHWARI DATAMATICS PVT. LTD.

Rooham Bhattacharya

Format of holding of specified securities

- 1 Name of Listed Entity: SMIFS CAPITAL MARKETS LTD. (CIN:L74300WB1983PLC036342)
- 2 Scrip Code 508905 Name of Scrip - SMIFS CAP MA Class of Security Equity
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending: 30/09/2016
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

FOR SMIFS CAPITAL MARKETS LIMITED

Poonam Bhatia

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

Table I - Summary Statement holding of specified securities as on 30/09/2016

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class eg. x	Class eg. y	Total			Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)	
(A)	Promoter & Promoter group	10	2393551	0	0	2393551	42.8568	2393551	0	42.8568	0	0.0000	0	0.0000	0	0.0000	2393551
(B)	Public	1380	3191449	0	0	3191449	57.1432	3191449	0	57.1432	0	0.0000	0	0.0000	NA	NA	3041408
(C)	Non Promoter - Non Public														NA	NA	
(C1)	Shares underlying DRs						NA								NA	NA	
(C2)	Shares held by Employee Trusts														NA	NA	
	Total:	1390	5585000	0	0	5585000	100.0000	5585000	0	100.0000	0	0	0	0			5434959

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FOR SAMES CAPITAL MARKETS LIMITED

Poonam Bhatia

(POONAM BHATIA)
COMPANY SECRETARY
CUM SENIOR MANAGER LEGAL

(1) Category & Name of the Shareholders (I)	PAN (II)	Nos of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights (X)	No of shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	As a % of total shares held (b) (a)	Number of equity shares in dematerialized form (XIV)
								Class x	Class y	Total							
(a)																	
Indian Individuals/Hindu undivided Family		7	542650	0	0	542650	9.7162	0	542650	9.7162	0	9.7162	0	0.0000	0	542650	
Ajay Kumar Kayan	AGGPK0892G	1	301050	0	0	301050	5.3903	0	301050	5.3903	0	5.3903	0	0.0000	0	301050	
NILANGI PAREKH	AJPP3852K	1	123000	0	0	123000	2.2023	0	123000	2.2023	0	2.2023	0	0.0000	0	123000	
UTSAV PAREKH	AGHPP467H	1	96200	0	0	96200	1.7225	0	96200	1.7225	0	1.7225	0	0.0000	0	96200	
LALITA KAYAN	AGGPK0893H	1	13800	0	0	13800	0.2471	0	13800	0.2471	0	0.2471	0	0.0000	0	13800	
RAHUL KAYAN	AGAPK0442L	1	7000	0	0	7000	0.1253	0	7000	0.1253	0	0.1253	0	0.0000	0	7000	
SUMAN BHARTIA	AEEP89602B	1	1500	0	0	1500	0.0269	0	1500	0.0269	0	0.0269	0	0.0000	0	1500	
PAYAL SARAF	AFYPK7045N	1	100	0	0	100	0.0018	0	100	0.0018	0	0.0018	0	0.0000	0	100	
Central Government/State Government(s)																	
(b)																	
Financial Institutions/Banks																	
(c)																	
Any Other (specify)																	
(d)																	
Bodies Corporate		3	1850901	0	0	1850901	33.1406	0	1850901	33.1406	0	33.1406	0	0.0000	0	1850901	
MACKERTICH CONSULTANCY SERVICES PRIVATE LIMITED	AABCM5680Q	1	1216900	0	0	1216900	21.7887	0	1216900	21.7887	0	21.7887	0	0.0000	0	1216900	
PROGRESSIVE STAR FINANCE PRIVATE LIMITED	AABCP6020F	1	357251	0	0	357251	6.3966	0	357251	6.3966	0	6.3966	0	0.0000	0	357251	
STEWART INVESTMENT AND FINANCIAL PRIVATE LIMITED	AADCS8612C	1	276750	0	0	276750	4.9552	0	276750	4.9552	0	4.9552	0	0.0000	0	276750	
Sub Total		10	2393551	0	0	2393551	42.8568	0	2393551	42.8568	0	42.8568	0	0.0000	0	2393551	
(e)																	
Foreign Individuals (Non-Resident Individuals/Foreign Individuals)																	
(a)																	
(b)																	
(c)																	
(d)																	
(e)																	
Foreign Portfolio Investor																	
Any Other (specify)																	
Sub Total		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0	
Total Shareholding of Promoter and Promoter Group		10	2393551	0	0	2393551	42.8568	0	2393551	42.8568	0	42.8568	0	0.0000	0	2393551	
As a % of A+B+C2																	

Pravin Bhatia

Pravin Bhatia

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Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of A+B+C2	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)	As a % of total equity shares held in dematerialized form (XIV)
								Class X	Class Y Total		No. (a)	As a % of total shares held (b)		
(1) (a) Mutual Funds / UTI														
(b) Venture Capital Funds														
(c) Alternate Investment Funds														
(d) Foreign Venture Capital Investors														
(e) Foreign Portfolio Investors		1	550000	0	0	550000	9.8478	550000	0	9.8478	0	0.0000	NA	550000
THE INDIAMAN FUND (MAURITIUS) LIMITED.	AAACT4855D	1	550000	0	0	550000	9.8478	550000	0	9.8478	0	0.0000	NA	550000
(f) Financial Institutions / Banks														
(g) Insurance Companies														
(h) Provident Funds / Pension Funds														
(i) Any Other (specify)														
Sub Total			550000	0	0	550000	9.8478	550000	0	9.8478	0	0.0000	NA	550000
(2) Central Government / State Government(s) / President of India														
(3) (a) Non-Institutions														
i. Individual shareholders holding nominal share capital upto Rs 2 lakhs			634359	0	0	634359	11.3583	634359	0	11.3583	0	0.0000	NA	555118
ii. Individual shareholders holding nominal share capital in excess of Rs 2 lakhs		15	852778	0	0	852778	15.2691	852778	0	15.2691	0	0.0000	NA	852778
S N RAJAN	ANWPS3969F	1	328333	0	0	328333	5.8788	328333	0	5.8788	0	0.0000	NA	328333
VENKATU SRINIVASAN	ABFPV0278F	1	84901	0	0	84901	1.5202	84901	0	1.5202	0	0.0000	NA	84901
SUBRAMANIAN P	AMBPS8931K	1	77020	0	0	77020	1.3791	77020	0	1.3791	0	0.0000	NA	77020
RAKESH KUMAR JAİN	AAFPJ6867K	1	61451	0	0	61451	1.1003	61451	0	1.1003	0	0.0000	NA	61451
(b) NBFCs registered with RBI														
(c) Employee Trusts														
(d) Overseas Depositories (holding DRs) (balancing figure)														

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Roopam Bhatti



SMIFS CAPITAL MARKETS LTD.

Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2013

Category & Name of the Shareholders (I) Any Other (specify)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculate as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	As a % of total equity shares held in dematerialized form (XIV)
								Class x	Class y	Total				
Bodies Corporate		55	1080964	0	0	1080964	19.3548	1080964	0	19.3548	0	0.0000	NA	1063164
Maya Trade Links Ltd ASTRA MERCHANDISING PRIVATE LIMITED	AAACM2192G AAACA6338G	2 1	398590 269509	0 0	0 0	398590 269509	7.1368 4.8256	398590 269509	0 0	7.1368 4.8256	0 0	0.0000 0.0000	NA NA	398590 269509
SUDHA COMMERCIAL COMPANY LIMITED BISHNAUTH INVESTMENTS LIMITED	AAECS2669C AABCM7767N	1 1	140500 74000	0 0	0 0	140500 74000	2.5157 1.3250	140500 74000	0 0	2.5157 1.3250	0 0	0.0000 0.0000	NA NA	140500 74000
Clearing Member		12	14703	0	0	14703	0.2633	14703	0	0.2633	0	0.0000	NA	14703
Non Resident Individual		11	58645	0	0	58645	1.0500	58645	0	1.0500	0	0.0000	NA	5645
Sub Total		1379	2641449	0	0	2641449	47.2954	2641449	0	47.2954	0	0.0000	NA	2491408
Total Public Shareholding (B)=(A)+(B)(2)+(B)(3)		1380	3191449	0	0	3191449	57.1432	3191449	0	57.1432	0	0.0000	NA	3041408



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Poojam Bhalla

Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareh... on 30/09/2016

Category & Name of the Shareholders (1) Custodian / DR Holder	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculate as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	
								No of Voting Rights	Total				
(1)													
(2)													
Total Non Promoter - Non Public Shareholding (C)=(C1)+(C2)								0	0	0.0000	0	0.0000	NA

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For SMIFS CAPITAL MARKETS LIMITED
poonam Bhatia
 (PCONAM BHATIA)
 COMPANY SECRETARY
 CUM-SENIOR MANAGER LEGAL