

General information about company	
Scrip code	508905
NSE Symbol	
MSEI Symbol	
ISIN	INE641A01013
Name of the company	SMIFS Capital Markets Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	10	2534051			2534051	45.37	2534051		2534051	45.37
(B)	Public	1242	3050949			3050949	54.63	3050949		3050949	54.63
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1252	5585000			5585000	100	5585000		5585000	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				45.37					2534051
(B)	Public				54.63					2989459
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					5523510

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	7	784350			784350	14.04	784350		784350	14.04
(c)	Financial Institutions/ Banks	3	1749701			1749701	31.33	1749701		1749701	31.33
Sub-Total (A) (1)		10	2534051			2534051	45.37	2534051		2534051	45.37
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		10	2534051			2534051	45.37	2534051		2534051	45.37
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	1	550000			550000	9.85	550000		550000	9.85
Sub-Total (B) (1)		1	550000			550000	9.85	550000		550000	9.85
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1173	631464			631464	11.31	631464		631464	11.31
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	8	746704			746704	13.37	746704		746704	13.37
(b)	NBFCs registered with RBI	2	7500			7500	0.13	7500		7500	0.13
(e)	Any Other (specify)	58	1115281			1115281	19.97	1115281		1115281	19.97
Sub-Total (B) (3)		1241	2500949			2500949	44.78	2500949		2500949	44.78
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1242	3050949			3050949	54.63	3050949		3050949	54.63
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		1252	5585000			5585000	100	5585000		5585000	100
Total (A+B+C)		1252	5585000			5585000	100	5585000		5585000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				14.04					784350
(c)				31.33					1749701
Sub-Total (A) (1)				45.37					2534051
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				45.37					2534051
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)				9.85					550000
Sub-Total (B) (1)				9.85					550000
(3)	Non-institutions								
(a(i))				11.31					579074
(a(ii))				13.37					746704
(b)				0.13					7500
(e)				19.97					1106181
Sub-Total (B) (3)				44.78					2439459
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				54.63					2989459
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					5523510
Total (A+B+C)				100					5523510

Individuals/Hindu undivided Family							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	AJAY KUMAR KAYAN	LALITA KAYAN	NILANGI PAREKH	UTSAV PAREKH	RAHUL KAYAN	SUMAN BHARTIA	PAYAL SARAF
PAN (II)	AGGPK0892G	AGGPK0893H	AJPPP3852K	AGHPP4467H	AGAPK0442L	AEEP9602B	AFYPK7045N
No. of fully paid up equity shares held (IV)	402250	154300	123000	96200	7000	1500	100
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	402250	154300	123000	96200	7000	1500	100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.2	2.76	2.2	1.72	0.13	0.03	0
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	402250	154300	123000	96200	7000	1500	100
Class eg:y							
Total	402250	154300	123000	96200	7000	1500	100
Total as a % of Total Voting rights	7.2	2.76	2.2	1.72	0.13	0.03	0
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	7.2	2.76	2.2	1.72	0.13	0.03	0
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	402250	154300	123000	96200	7000	1500	100
Reason for not providing PAN							

Reason for not providing PAN							
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter	Promoter Group	Promoter Group	Promoter

Individuals/Hindu undivided Family	
Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	784350
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	784350
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	14.04
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	784350
Class eg:y	
Total	784350
Total as a % of Total Voting rights	14.04
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	14.04
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	784350
Reason for not providing PAN	

Reason for not providing PAN	
Shareholder type	

Financial Institutions/ Banks				
Serial No.	1	2	3	
Name of the Shareholders (I)	MACKERTICH CONSULTANCY SERVICES PRIVATE LIMITED	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	STEWART INVESTMENT AND FINANCIAL PRIVATE LIMITED	Click here to go back
PAN (II)	AABCM5680Q	AABCP6020F	AADCS8612C	Total
No. of fully paid up equity shares held (IV)	1115700	357251	276750	1749701
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1115700	357251	276750	1749701
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.98	6.4	4.96	31.33
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	1115700	357251	276750	1749701
Class eg:y				
Total	1115700	357251	276750	1749701
Total as a % of Total Voting rights	19.98	6.4	4.96	31.33
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	19.98	6.4	4.96	31.33
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	1115700	357251	276750	1749701

Reason for not providing PAN				
Reason for not providing PAN				
Shareholder type	Promoter Group	Promoter Group	Promoter Group	

Foreign Portfolio Investors		
Serial No.	1	
Name of the Shareholders (I)	THE INDIAMAN FUND (MAURITIUS) LIMITED	Click here to go back
PAN (II)	AAACT4855D	Total
No. of fully paid up equity shares held (IV)	550000	550000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	550000	550000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.85	9.85
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	550000	550000
Class eg:y		
Total	550000	550000
Total as a % of Total Voting rights	9.85	9.85
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	9.85	9.85
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	550000	550000
Reason for not providing PAN		
Reason for not providing PAN		

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	S N RAJAN	SUBRAMANIAN P	VENKATTU SRINIVASAN	PUNJABHAI KESHAVBHAI KADCHHA	RAKESH KUMAR JAIN	Click here to go back
PAN (II)	ANWPS3969F	AMBPS8931K	ABFPV0278F	AEDPK1160L	AAFPJ6867K	Total
No. of fully paid up equity shares held (IV)	293020	180790	79481	60900	60153	674344
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	293020	180790	79481	60900	60153	674344
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.25	3.24	1.42	1.09	1.08	12.07
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	293020	180790	79481	60900	60153	674344
Class eg:y						
Total	293020	180790	79481	60900	60153	674344
Total as a % of Total Voting rights	5.25	3.24	1.42	1.09	1.08	12.07
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	5.25	3.24	1.42	1.09	1.08	12.07
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	293020	180790	79481	60900	60153	674344
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	IEPF	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)			ASTRA MERCHANDISING PRIVATE LIMITED	MAYA TRADE LINKS LIMITED	ANTRIKSH VYAPAAR LIMITED	FINQUEST SECURITIES PVT. LTD. CLIENT ACCOUNT	BISHNAUTH INVESTMENTS LIMITED
PAN (II)			AAACA6338G	AAACM2192G	AACCA5697E	AACB7028F	AABCM7767N
No. of the Shareholders (I)	1	40	1	1	1	1	1
No. of fully paid up equity shares held (IV)	106005	1001163	269509	221940	175000	140259	74000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	106005	1001163	269509	221940	175000	140259	74000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.9	17.93	4.83	3.97	3.13	2.51	1.32
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	106005	1001163	269509	221940	175000	140259	74000
Class eg:y							
Total	106005	1001163	269509	221940	175000	140259	74000
Total as a % of Total Voting rights	1.9	17.93	4.83	3.97	3.13	2.51	1.32
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.9	17.93	4.83	3.97	3.13	2.51	1.32
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							

Number of equity shares held in dematerialized form (XIV)	106005	995063	269509	221940	175000	140259	74000
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)			
Serial No.	8	9	
Category	Clearing Members	Non-Resident Indian (NRI)	
Category / More than 1 percentage	Category	Category	
Name of the Shareholders (I)			Click here to go back
PAN (II)			Total
No. of the Shareholders (I)	8	9	58
No. of fully paid up equity shares held (IV)	3111	5002	1115281
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3111	5002	1115281
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.06	0.09	19.98
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	3111	5002	1115281
Class eg:y			
Total	3111	5002	1115281
Total as a % of Total Voting rights	0.06	0.09	19.98
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.06	0.09	19.98
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	3111	2002	1106181

Reason for not providing PAN			
Reason for not providing PAN			

