

General information about company	
Scrip code	508905
Name of the company	SMIFS Capital Markets Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	10	2393551			2393551	42.86	2393551		2393551	42.86
(B)	Public	1337	3191449			3191449	57.14	3191449		3191449	57.14
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1347	5585000			5585000	100	5585000		5585000	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				42.86					2393551
(B)	Public				57.14					3044808
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					5438359

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	7	643850			643850	11.53	643850		643850	11.53
(d)	Any Other (specify)	3	1749701			1749701	31.33	1749701		1749701	31.33
Sub-Total (A) (1)		10	2393551			2393551	42.86	2393551		2393551	42.86
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		10	2393551			2393551	42.86	2393551		2393551	42.86
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	1	550000			550000	9.85	550000		550000	9.85
Sub-Total (B) (1)		1	550000			550000	9.85	550000		550000	9.85
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1256	552294			552294	9.89	552294		552294	9.89
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	11	991349			991349	17.75	991349		991349	17.75
(b)	NBFCs registered with RBI	1	300			300	0.01	300		300	0.01
(e)	Any Other (specify)	68	1097506			1097506	19.65	1097506		1097506	19.65
Sub-Total (B) (3)		1336	2641449			2641449	47.3	2641449		2641449	47.3
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1337	3191449			3191449	57.14	3191449		3191449	57.14
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		1347	5585000			5585000	100	5585000		5585000	100
Total (A+B+C)		1347	5585000			5585000	100	5585000		5585000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				11.53					643850
(d)				31.33					1749701
Sub-Total (A) (1)				42.86					2393551
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				42.86					2393551
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)				9.85					550000
Sub-Total (B) (1)				9.85					550000
(3)	Non-institutions								
(a(i))				9.89					476453
(a(ii))				17.75					991349
(b)				0.01					300
(e)				19.65					1026706
Sub-Total (B) (3)				47.3					2494808
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				57.14					3044808
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					5438359
Total (A+B+C)				100					5438359

Individuals/Hindu undivided Family							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	AJAY KUMAR KAYAN	NILANGI PAREKH	UTSAV PAREKH	LALITA KAYAN	RAHUL KAYAN	SUMAN BHARTIA	PAYAL SARAF
PAN (II)	AGGPK0892G	AJPPP3852K	AGHPP4467H	AGGPK0893H	AGAPK0442L	AEEP9602B	AFYPK7045N
No. of fully paid up equity shares held (IV)	402250	123000	96200	13800	7000	1500	100
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	402250	123000	96200	13800	7000	1500	100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.2	2.2	1.72	0.25	0.13	0.03	0
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	402250	123000	96200	13800	7000	1500	100
Class eg:y							
Total	402250	123000	96200	13800	7000	1500	100
Total as a % of Total Voting rights	7.2	2.2	1.72	0.25	0.13	0.03	0
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	7.2	2.2	1.72	0.25	0.13	0.03	0
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	402250	123000	96200	13800	7000	1500	100
Reason for not providing PAN							

Reason for not providing PAN							
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Individuals/Hindu undivided Family	
Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	643850
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	643850
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.53
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	643850
Class eg:y	
Total	643850
Total as a % of Total Voting rights	11.53
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	11.53
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	643850
Reason for not providing PAN	

Reason for not providing PAN	
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Any Other (specify)				
Serial No.	1	2	3	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	MACKERTICH CONSULTANCY SERVICES PRIVATE LIMITED AABCM5680Q	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	STEWART INVESTMENT AND FINANCIAL PRIVATE LIMITED	
PAN (II)	AABCM5680Q	AABCP6020F	AADCS8612C	Total
No. of the Shareholders (I)	1	1	1	3
No. of fully paid up equity shares held (IV)	1115700	357251	276750	1749701
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1115700	357251	276750	1749701
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.98	6.4	4.96	31.33
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	1115700	357251	276750	1749701
Class eg:y				
Total	1115700	357251	276750	1749701
Total as a % of Total Voting rights	19.98	6.4	4.96	31.33
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	19.98	6.4	4.96	31.33
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				

As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	1115700	357251	276750	1749701
Reason for not providing PAN				

Foreign Portfolio Investors		
Serial No.	1	
Name of the Shareholders (I)	THE INDIAMAN FUND (MAURITIUS) LIMITED	Click here to go back
PAN (II)	AAACT4855D	Total
No. of fully paid up equity shares held (IV)	550000	550000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	550000	550000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.85	9.85
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	550000	550000
Class eg:y		
Total	550000	550000
Total as a % of Total Voting rights	9.85	9.85
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	9.85	9.85
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	550000	550000
Reason for not providing PAN		
Reason for not providing PAN		

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	S N RAJAN	SUBRAMANIAN P	MINAL BHARAT PATEL	VENKATTU SRINIVASAN	RAKESH KUMAR JAIN	Click here to go back
PAN (II)	ANWPS3969F	AMBPS8931K	AACPP5126G	ABFPV0278F	AAFPJ6867K	Total
No. of fully paid up equity shares held (IV)	385665	176760	133342	84901	66610	847278
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	385665	176760	133342	84901	66610	847278
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.91	3.16	2.39	1.52	1.19	15.17
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	385665	176760	133342	84901	66610	847278
Class eg:y						
Total	385665	176760	133342	84901	66610	847278
Total as a % of Total Voting rights	6.91	3.16	2.39	1.52	1.19	15.17
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.91	3.16	2.39	1.52	1.19	15.17
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	385665	176760	133342	84901	66610	847278
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Clearing Members
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category
Name of the Shareholders (I)		ASTRA MERCHANDISING PRIVATE LIMITED	MAYA TRADE LINKS LIMITED	ANTRIKSH VYAPAAR LIMITED	SUDHA COMMERCIAL COMPANY LIMITED	BISHNAUTH INVESTMENTS LIMITED	
PAN (II)		AAACA6338G	AAACM2192G	AACCA5697E	AAECS2669C	AABCM7767N	
No. of the Shareholders (I)	49	1	1	1	1	1	7
No. of fully paid up equity shares held (IV)	1038066	269509	221940	175000	140500	74000	745
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1038066	269509	221940	175000	140500	74000	745
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	18.59	4.83	3.97	3.13	2.52	1.32	0.01
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1038066	269509	221940	175000	140500	74000	745
Class eg:y							
Total	1038066	269509	221940	175000	140500	74000	745
Total as a % of Total Voting rights	18.59	4.83	3.97	3.13	2.52	1.32	0.01
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	18.59	4.83	3.97	3.13	2.52	1.32	0.01
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							

Number of equity shares held in dematerialized form (XIV)	1020266	269509	221940	175000	140500	74000	745
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)		
Serial No.	8	
Category	NRI	
Category / More than 1 percentage	Category	
Name of the Shareholders (I)		Click here to go back
PAN (II)		Total
No. of the Shareholders (I)	12	68
No. of fully paid up equity shares held (IV)	58695	1097506
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	58695	1097506
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.05	19.65
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	58695	1097506
Class eg:y		
Total	58695	1097506
Total as a % of Total Voting rights	1.05	19.65
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.05	19.65
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	5695	1026706

Reason for not providing PAN		
Reason for not providing PAN		

