

General information about company	
Scrip code	508905
Name of the company	SMIFS CAPITAL MARKETS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	10	2393551			2393551	42.86	2393551		2393551	42.86
(B)	Public	1182	3191449			3191449	57.14	3191449		3191449	57.14
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1192	5585000			5585000	100	5585000		5585000	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				42.86					2393551
(B)	Public				57.14					3057408
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					5450959

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	7	643850			643850	11.53	643850		643850	11.53
(d)	Any Other (specify)	3	1749701			1749701	31.33	1749701		1749701	31.33
Sub-Total (A) (1)		10	2393551			2393551	42.86	2393551		2393551	42.86
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		10	2393551			2393551	42.86	2393551		2393551	42.86
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	1	550000			550000	9.85	550000		550000	9.85
Sub-Total (B) (1)		1	550000			550000	9.85	550000		550000	9.85
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1103	569217			569217	10.19	569217		569217	10.19
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	8	837446			837446	14.99	837446		837446	14.99
(b)	NBFCs registered with RBI	2	7500			7500	0.13	7500		7500	0.13
(e)	Any Other (specify)	68	1227286			1227286	21.97	1227286		1227286	21.97
Sub-Total (B) (3)		1181	2641449			2641449	47.3	2641449		2641449	47.3
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1182	3191449			3191449	57.14	3191449		3191449	57.14
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		1192	5585000			5585000	100	5585000		5585000	100
Total (A+B+C)		1192	5585000			5585000	100	5585000		5585000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				11.53					643850
(d)				31.33					1749701
Sub-Total (A) (1)				42.86					2393551
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				42.86					2393551
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)				9.85					550000
Sub-Total (B) (1)				9.85					550000
(3)	Non-institutions								
(a(i))				10.19					495976
(a(ii))				14.99					837446
(b)				0.13					7500
(e)				21.97					1166486
Sub-Total (B) (3)				47.3					2507408
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				57.14					3057408
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					5450959
Total (A+B+C)				100					5450959

Individuals/Hindu undivided Family							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	AJAY KUMAR KAYAN	NILANGI PAREKH	UTSAV PAREKH	LALITA KAYAN	RAHUL KAYAN	SUMAN BHARTIA	PAYAL SARAF
PAN (II)	AGGPK0892G	AJPPP3852K	AGHPP4467H	AGGPK0893H	AGAPK0442L	AEEP9602B	AFYPK7045N
No. of fully paid up equity shares held (IV)	402250	123000	96200	13800	7000	1500	100
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	402250	123000	96200	13800	7000	1500	100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.2	2.2	1.72	0.25	0.13	0.03	0
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	402250	123000	96200	13800	7000	1500	100
Class eg:y							
Total	402250	123000	96200	13800	7000	1500	100
Total as a % of Total Voting rights	7.2	2.2	1.72	0.25	0.13	0.03	0
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	7.2	2.2	1.72	0.25	0.13	0.03	0
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	402250	123000	96200	13800	7000	1500	100
Reason for not providing PAN							

Reason for not providing PAN							
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Individuals/Hindu undivided Family	
Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	643850
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	643850
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.53
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	643850
Class eg:y	
Total	643850
Total as a % of Total Voting rights	11.53
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	11.53
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	643850
Reason for not providing PAN	

Reason for not providing PAN	
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Any Other (specify)				
Serial No.	1	2	3	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	MACKERTICH CONSULTANCY SERVICES PRIVATE LIMITED	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	STEWART INVESTMENTS AND FINANCIAL PRIVATE LIMITED	
PAN (II)	AABCM5680Q	AABCP6020F	AADCS8612C	Total
No. of the Shareholders (I)	1	1	1	3
No. of fully paid up equity shares held (IV)	1115700	357251	276750	1749701
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1115700	357251	276750	1749701
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.98	6.4	4.96	31.33
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	1115700	357251	276750	1749701
Class eg: y				
Total	1115700	357251	276750	1749701
Total as a % of Total Voting rights	19.98	6.4	4.96	31.33
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	19.98	6.4	4.96	31.33
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				
As a % of total				

Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	1115700	357251	276750	1749701
Reason for not providing PAN				

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	S N RAJAN	SUBRAMANIAN P	VENKATTU SRINIVASAN	RAKESH KUMAR JAIN	Click here to go back
PAN (II)	ANWPS3969F	AMBPS8931K	ABFPV0278F	AAFPI6867K	Total
No. of fully paid up equity shares held (IV)	383498	179598	84901	66610	714607
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	383498	179598	84901	66610	714607
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.87	3.22	1.52	1.19	12.8
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	383498	179598	84901	66610	714607
Class eg:y					
Total	383498	179598	84901	66610	714607
Total as a % of Total Voting rights	6.87	3.22	1.52	1.19	12.8
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.87	3.22	1.52	1.19	12.8
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	383498	179598	84901	66610	714607
Reason for not providing PAN					
Reason for not providing PAN					

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)		ASTRA MERCHANDISING PRIVATE LIMITED	MAYA TRADE LINKS LIMITED	ANTRIKSH VYAPAAR LIMITED	FINQUEST SECURITIES PRIVATE LIMITED-CLIENT ACCOUNT	BISHNAUTH INVESTMENTS LIMITED	STEWART & MACKERTICH WEALTH MANAGEMENT LIMITED
PAN (II)		AAACA6338G	AAACM2192G	AACCA5697E	AABCB7028F	AABCM7767N	AADCS7513E
No. of the Shareholders (I)	48	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	1023483	269509	221940	175000	133342	74000	140500
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1023483	269509	221940	175000	133342	74000	140500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	18.33	4.83	3.97	3.13	2.39	1.32	2.52
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1023483	269509	221940	175000	133342	74000	140500
Class eg:y							
Total	1023483	269509	221940	175000	133342	74000	140500
Total as a % of Total Voting rights	18.33	4.83	3.97	3.13	2.39	1.32	2.52
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of	18.33	4.83	3.97	3.13	2.39	1.32	2.52

diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1015683	269509	221940	175000	133342	74000	140500
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)			
Serial No.	8	9	
Category	Clearing Members	NRI	
Category / More than 1 percentage	Category	Category	
Name of the Shareholders (I)			Click here to go back
PAN (II)			Total
No. of the Shareholders (I)	10	10	68
No. of fully paid up equity shares held (IV)	148801	55002	1227286
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	148801	55002	1227286
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.66	0.98	21.97
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	148801	55002	1227286
Class eg:y			
Total	148801	55002	1227286
Total as a % of Total Voting rights	2.66	0.98	21.97
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.66	0.98	21.97
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	148801	2002	1166486

Reason for not providing PAN			
Reason for not providing PAN			

