



SMIFS CAPITAL MARKETS LIMITED

July 4, 2018

The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700 001

Sub: Regulation 31 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

Dear Sir,

We are forwarding the Statement showing Shareholding as per Regulation 31 of the (Listing Obligations & Disclosure Requirements) Regulations, 2015 as on June 30, 2018 for your kind reference and record.

Kindly acknowledge.

Yours faithfully,
For SMIFS Capital Markets Limited

Poonam Bhatia

(Poonam Bhatia)
Company Secretary-cum-Senior Manager Legal



Encl: a/a

Format of holding of specified securities

1. Name of Listed Entity: SMIFS CAPITAL MARKETS LTD. (CIN:L74300WB1983PLC036342)
2. Scrip Code 508905 Name of Scrip -, SMIFS CAP MA Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/06/2018
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

FOR SMIFS CAPITAL MARKETS LIMITED
 POONAM BHATIA
 COMPANY SECRETARY
 CUM-SENIOR MANAGER LEGAL

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Poonam Bhatia

Table I - Summary Statement holding of specified securities as on 30/06/2018

Category of shareholder (I)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
							No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
							Class eg x	Class eg y	Total								
(A) Promoter & Promoter group	10	2534051	0	0	2534051	45.3724	2534051	0	2534051	45.3724	0	45.3724	0	0.0000	0	0.0000	2534051
(B) Public	1250	3050949	0	0	3050949	54.6276	3050949	0	3050949	54.6276	0	54.6276	0	0.0000	NA		2990757
(C) Non Promoter - Non Public															NA		
(C1) Shares underlying DRs						NA									NA		
(C2) Shares held by Employee Trusts															NA		
Total	1260	5585000	0	0	5585000	100.0000	5585000	0	5585000	100.0000	0	100.0000	0				5524808

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13/07/2018 11:00 AM

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(1)	Category & Name of the Shareholders (I)	PAN (II)	Nos of shareholders (III)	No of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights		No (a)			As a % of total shares held (b)	No (a)	As a % of total shares held (b)			
									Class x	Class y							Total		
(a)	Indian Individuals/Hindu undivided Family		7	784350	0	0	784350	14.0439	784350	0	784350	14.0439	0	14.0439	0	0.0000	0	0.0000	784350
	Ajay Kumar Kayan	AGGPK0892G	1	402250	0	0	402250	7.2023	402250	0	402250	7.2023	0	7.2023	0	0.0000	0	0.0000	402250
	LALITA KAYAN	AGGPK0893H	1	154300	0	0	154300	2.7628	154300	0	154300	2.7628	0	2.7628	0	0.0000	0	0.0000	154300
	NILANGI PAREKH	AJPPP3852K	1	123000	0	0	123000	2.2023	123000	0	123000	2.2023	0	2.2023	0	0.0000	0	0.0000	123000
	UTSAV PAREKH	AGHPP4467H	1	96200	0	0	96200	1.7225	96200	0	96200	1.7225	0	1.7225	0	0.0000	0	0.0000	96200
	RAHUL KAYAN	AGAPK0442L	1	7000	0	0	7000	0.1253	7000	0	7000	0.1253	0	0.1253	0	0.0000	0	0.0000	7000
	SUMAN BHARTIA	AEEPB9602B	1	1500	0	0	1500	0.0269	1500	0	1500	0.0269	0	0.0269	0	0.0000	0	0.0000	1500
	PAYAL SARAF	AFYPK7045N	1	100	0	0	100	0.0018	100	0	100	0.0018	0	0.0018	0	0.0000	0	0.0000	100
(b)	Central Government/State Government(s)																		
(c)	Financial Institutions/Banks																		
(d)	Any Other (specify)																		
	Bodies Corporate		3	1749701	0	0	1749701	31.3286	1749701	0	1749701	31.3286	0	31.3286	0	0.0000	0	0.0000	1749701
	MACKERTICH CONSULTANCY SERVICES PRIVATE LIMITED	AABCM5680Q	1	1115700	0	0	1115700	19.9767	1115700	0	1115700	19.9767	0	19.9767	0	0.0000	0	0.0000	1115700
	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	AABCP6020F	1	357251	0	0	357251	6.3966	357251	0	357251	6.3966	0	6.3966	0	0.0000	0	0.0000	357251
	STEWART INVESTMENT AND FINANCIAL PRIVATE LIMITED	AADCS8612C	1	276750	0	0	276750	4.9552	276750	0	276750	4.9552	0	4.9552	0	0.0000	0	0.0000	276750
	Sub Total		10	2534051	0	0	2534051	45.3724	2534051	0	2534051	45.3724	0	45.3724	0	0.0000	0	0.0000	2534051
(2)	Foreign Individuals (Non-Resident Individuals/Foreign Individuals)																		
(a)	Government																		
(b)	Institutions																		
(c)	Foreign Portfolio Investor																		
(d)	Any Other (specify)																		
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		10	2534051	0	0	2534051	45.3724	2534051	0	2534051	45.3724	0	45.3724	0	0.0000	0	0.0000	2534051

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Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class x	Class y	Total			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		
(1) Institutions																		
(a) Mutual Funds / UTI																		
(b) Venture Capital Funds																		
(c) Alternate Investment Funds																		
(d) Foreign Venture Capital Investors																		
(e) Foreign Portfolio Investors		1	550000	0	0	550000	9.8478	550000	0	550000	9.8478	0	9.8478	0	0.0000	NA		550000
THE INDIAMAN FUND (MAURITIUS) LIMITED	AAACT4855D	1	550000	0	0	550000	9.8478	550000	0	550000	9.8478	0	9.8478	0	0.0000	NA		550000
(f) Financial Institutions / Banks																		
(g) Insurance Companies																		
(h) Provident Funds / Pension Funds																		
(i) Any Other (specify)																		
Sub Total			550000	0	0	550000	9.8478	550000	0	550000	9.8478	0	9.8478	0	0.0000	NA		550000
(2) Central Government / State Government(s) / President of India																		
(3) Non-Institutions																		
(a) Individuals-																		
i Individual shareholders holding nominal share capital upto Rs.2 lakhs		117	611128	0	0	611128	10.9423	611128	0	611128	10.9423	0	10.9423	0	0.0000	NA		560036
ii Individual shareholders holding nominal share capital in excess of Rs 2 lakhs		9	901126	0	0	901126	16.1348	901126	0	901126	16.1348	0	16.1348	0	0.0000	NA		901126
S N RAJAN	ANWPS3969F	1	295061	0	0	295061	5.2831	295061	0	295061	5.2831	0	5.2831	0	0.0000	NA		295061
SUBRAMANIAN P	AMBPS8931K	1	180790	0	0	180790	3.2371	180790	0	180790	3.2371	0	3.2371	0	0.0000	NA		180790
MINAL BHARAT PATEL	AACPP5126G	1	152380	0	0	152380	2.7284	152380	0	152380	2.7284	0	2.7284	0	0.0000	NA		152380
VENKATTU SRINIVASAN	ABFPV0278F	1	79482	0	0	79482	1.4231	79482	0	79482	1.4231	0	1.4231	0	0.0000	NA		79482
PUNJABHAI KESHAVBHAI																		
JADEJA	AEDPK1160L	1	60900	0	0	60900	1.0904	60900	0	60900	1.0904	0	1.0904	0	0.0000	NA		60900
RAKESH KUMAR JAIN	AAFPJ6867K	1	60153	0	0	60153	1.0770	60153	0	60153	1.0770	0	1.0770	0	0.0000	NA		60153
(b) NBFCs registered with RBI		2	7300	0	0	7300	0.1307	7300	0	7300	0.1307	0	0.1307	0	0.0000	NA		7300
(c) Employee Trusts																		

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Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class x	Class y	Total			Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
(d) Overseas Depositories (holding DRs) (balancing figure)																		
(e) Any Other (specify)																		
Investor Education and Protection Fund Authority		1	106005	0	0	106005	1.8980	106005	0	106005	1.8980	0	1.8980	0	0.0000	NA		106005
INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY MINISTRY OF CORPORATE AFFAIRS	EXEMPTCATG	1	106005	0	0	106005	1.8980	106005	0	106005	1.8980	0	1.8980	0	0.0000	NA		106005
Bodies Corporate		41	865011	0	0	865011	15.4881	865011	0	865011	15.4881	0	15.4881	0	0.0000	NA		858911
ASTRA MERCHANDISING PRIVATE LIMITED	AAACA6338G	1	269509	0	0	269509	4.8256	269509	0	269509	4.8256	0	4.8256	0	0.0000	NA		269509
Maya Trade Links Ltd.	AAACM2192G	2	221940	0	0	221940	3.9739	221940	0	221940	3.9739	0	3.9739	0	0.0000	NA		221940
ANTRIKSH VYAPAAR LIMITED	AACCA5697E	1	175000	0	0	175000	3.1334	175000	0	175000	3.1334	0	3.1334	0	0.0000	NA		175000
BISHNAUTH INVESTMENTS LIMITED	AABCM7767N	1	74000	0	0	74000	1.3250	74000	0	74000	1.3250	0	1.3250	0	0.0000	NA		74000
Clearing Member		10	5377	0	0	5377	0.0963	5377	0	5377	0.0963	0	0.0963	0	0.0000	NA		5377
Non Resident Individual		9	5002	0	0	5002	0.0896	5002	0	5002	0.0896	0	0.0896	0	0.0000	NA		2002
Sub Total		1249	2500949	0	0	2500949	44.7797	2500949	0	2500949	44.7797	0	44.7797	0	0.0000	NA		2440757
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1250	3050949	0	0	3050949	54.6276	3050949	0	3050949	54.6276	0	54.6276	0	0.0000	NA		2990757

FOR SMIFS CAPITAL MARKETS LIMITED
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 COMPANY SECRETARY
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SMIFS CAPITAL MARKETS LTD.

Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/06/2018

(1)	Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									Class x	Class y	Total				No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		
(1)	Custodian / DR Holder																			
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																			
	Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	

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SMIFS CAPITAL MARKETS LTD

Distribution Schedule as on 30/06/2018

Share Holding	No of Holders	% age	No of Shares	% age
Upto 50	542	43.0159	5842	0.1046
51 to 100	177	14.0476	16743	0.2998
101 to 150	34	2.6984	4722	0.0845
151 to 250	97	7.6984	20009	0.3583
251 to 500	131	10.3968	54113	0.9689
501 to 5000	225	17.8571	371705	6.6554
Above 5000	54	4.2857	5111866	91.5285
Grand Total	1260	100.0000	5585000	100.0000

Distribution Schedule as on 30/06/2018

Share Holding	No of Holders	% age	No of Shares	% age
Upto 500	981	77.8571	101429	1.8161
501 to 1000	94	7.4603	77093	1.3804
1001 to 2000	75	5.9524	110891	1.9855
2001 to 3000	30	2.3810	81096	1.4520
3001 to 4000	19	1.5079	68575	1.2278
4001 to 5000	7	0.5556	34050	0.6097
5001 to 10000	25	1.9841	177990	3.1869
Above 10000	29	2.3016	4933876	88.3416
Grand Total	1260	100.0000	5585000	100.0000

Distribution Schedule as on 30/06/2018

Notional Value of (Rs.)	No of Holders	% age	Amount (Rs.)	% age
Upto 5000	981	77.8571	1014290	1.8161
5001 to 10000	94	7.4603	770930	1.3804
10001 to 20000	75	5.9524	1108910	1.9855
20001 to 30000	30	2.3810	810960	1.4520
30001 to 40000	19	1.5079	685750	1.2278
40001 to 50000	7	0.5556	340500	0.6097
50001 to 100000	25	1.9841	1779900	3.1869
Above 100000	29	2.3016	49338760	88.3416
Grand Total	1260	100.0000	55850000	100.0000

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Poonam Bhatia

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COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

SMIFS CAPITAL MARKETS LTD.					
Shareholding Summary as on 30/06/2018					
Form	As per Folio/Client Id		As per PAN		
	No of Records	No of Shares	No of Records	No of Shares	
PHYSICAL	179	60192	168	60192	
NSDL	779	4385253	724	4385253	
CDSL	498	1139555	451	1139555	
TOTAL	1456	5585000	1343	5585000	



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