



# SMIFS CAPITAL MARKETS LIMITED

January 5, 2019

**The Secretary**  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata - 700 001

**Sub: Regulation 31 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015**

Dear Sir,

We are forwarding the Statement showing Shareholding as per Regulation 31 of the (Listing Obligations & Disclosure Requirements) Regulations, 2015 as on December 31, 2018 for your kind reference and record.

Kindly acknowledge.

Yours faithfully,  
**For SMIFS Capital Markets Limited**

*Poonam Bhatia*

**(Poonam Bhatia)**  
**Company Secretary-cum-Senior Manager Legal**

Encl: a/a



SMIFS CAPITAL MARKETS LTD.  
Table 1 - Summary Statement holding of specified securities as on 31/12/2018

Category (I)	Category of shareholder (II)	Nos. of shares held (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No of shares convertible (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class eg: x	Class eg: y	Total			As a % of total shares held (b)	As a % of total shares held (b)	As a % of total shares held (b)		
(A)	Promoter & group	10	2534051	0	0	2534051	45.3724	0	0	2534051	45.3724	0	0	0	0	0	2534051
(B)	Public	1248	3050949	0	0	3050949	54.6276	0	0	3050949	54.6276	0	0.0000	NA	0	0	2999389
(C)	Non Promoter - Non Public													NA			
(C1)	Shares underlying DRs						NA							NA			
(C2)	Shares held by Employee Trusts													NA			
	Total	1258	5585000	0	0	5585000	100.0000	0	0	5585000	100.0000	0	0				5539440

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FOR SMIFS CAPITAL MARKETS LIMITED

*Poonam Bhatia*

(POONAM BHATIA)  
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SMIFS CAPITAL MARKETS LTD  
Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 31/12/2018

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	Number of Voting Rights held in each class of securities (IX)			(10)	(11)	(12)	(13)	(14)	(15)	(16)			
								Class x	Class y	Total										
(a)	Indian Individuals/Hindu undivided Family	PAN	Nos of sharehold ers (iii)	No of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos of shares underlying Depository Receipts (vi)	Total nos shares held (vii)=(iv)+(v)+(vi)	Sharehold ing % calculated as per SCRR, 1957) As a % of (A+B+C2) (viii)	Class x	Class y	Total	Total as a % of Total Voting rights (x)	No of Shares underlying convertible securities (including Warrants) (xi)	Shareholdin g as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)=(vii)-(x)+(xi) As a % of (A+B+C2)	No (a)	As a % of total shares held (b)	No (a)	As a % of total shares held (b)	Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in demateriali zed form (XIV)
	Ajay Kumar Kayan	AGGPK0892G	1	402250	0	0	402250	7.2023	784350	0	784350	14.0439	0	14.0439	0	0.0000	0	0.0000	0	402250
	LALITA KAYAN	AGGPK0893H	1	154300	0	0	154300	2.7628	784350	0	784350	2.7628	0	2.7628	0	0.0000	0	0.0000	0	154300
	NILANGI PAREKH	AJPPP3852K	1	123000	0	0	123000	2.2023	784350	0	784350	2.2023	0	2.2023	0	0.0000	0	0.0000	0	123000
	UTSAV PAREKH	AGHPP4467H	1	96200	0	0	96200	1.7225	784350	0	784350	1.7225	0	1.7225	0	0.0000	0	0.0000	0	96200
	RAHUL KAYAN	AGAPK0442L	1	7000	0	0	7000	0.1253	784350	0	784350	0.1253	0	0.1253	0	0.0000	0	0.0000	0	7000
	SUMAN BHARTIA	AEEP89602B	1	1500	0	0	1500	0.0269	784350	0	784350	0.0269	0	0.0269	0	0.0000	0	0.0000	0	1500
	PAYAL SARAF	AFYPK7045N	1	100	0	0	100	0.0018	784350	0	784350	0.0018	0	0.0018	0	0.0000	0	0.0000	0	100
(b)	Central Government/State Government(s)																			
(c)	Financial Institutions/Banks																			
(d)	Any Other (specify)																			
	Bodies Corporate		3	1749701	0	0	1749701	31.3286	784350	0	784350	31.3286	0	31.3286	0	0.0000	0	0.0000	0	1749701
	MACKERTICH CONSULTANCY SERVICES PRIVATE LIMITED	AABCM5680Q	1	1115700	0	0	1115700	19.9767	784350	0	784350	19.9767	0	19.9767	0	0.0000	0	0.0000	0	1115700
	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	AABCP6020F	1	357251	0	0	357251	6.3966	784350	0	784350	6.3966	0	6.3966	0	0.0000	0	0.0000	0	357251
	STEWART INVESTMENT AND FINANCIAL PRIVATE LIMITED	AADCS8612C	1	276750	0	0	276750	4.9552	784350	0	784350	4.9552	0	4.9552	0	0.0000	0	0.0000	0	276750
	Sub Total		10	2534051	0	0	2534051	45.3724	784350	0	784350	45.3724	0	45.3724	0	0.0000	0	0.0000	0	2534051
(2)	Foreign Individuals (Non-Resident Individuals/Foreign Individuals)																			
(a)	Government																			
(b)	Institutions																			
(c)	Foreign Portfolio Investor																			
(d)	Any Other (specify)																			
(e)	Sub Total		0	0	0	0	0	0.0000	784350	0	784350	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0
	Total Shareholding of Promoter and Promoter Group		10	2534051	0	0	2534051	45.3724	784350	0	784350	45.3724	0	45.3724	0	0.0000	0	0.0000	0	2534051
	(A)=(A)(1)+(A)(2)																			

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SMIFS CAPITAL MARKETS LTD.

Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2018

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shares held (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of shares underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)	As a % of total equity shares held in dematerialized form (XIV)	
								Class x	Class y	Total			(a)	(b)			
(1) Institutions																	
(a) Mutual Funds / UTI																	
(b) Venture Capital Funds																	
(c) Alternate Investment Funds																	
(d) Foreign Venture Capital Investors																	
(e) Foreign Portfolio Investors																	
	THE INDIAMAN FUND (MAURITIUS) LIMITED.	AAACT485SD	1	550000	0	0	550000	9.8478	550000	0	550000	9.8478	0	0	0.0000	NA	550000
(f) Financial Institutions / Banks																	
(g) Insurance Companies																	
(h) Provident Funds / Pension Funds																	
(i) Any Other (specify)																	
	Sub Total		1	550000	0	0	550000	9.8478	550000	0	550000	9.8478	0	0	0.0000	NA	550000
(2) Central Government / State Government(s) / President of India																	
(3) Non-Institutions																	
(a) Individuals-																	
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		1175	608641	0	0	608641	10.8978	608641	0	608641	10.8978	0	0	0.0000	NA	56618
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		9	914372	0	0	914372	16.3719	914372	0	914372	16.3719	0	0	0.0000	NA	914372
	S N RAJAN	ANWPS3969F	1	306061	0	0	306061	5.4801	306061	0	306061	5.4801	0	0	0.0000	NA	306061
	SUBRAMANIAN P	AMBPS8931K	1	182590	0	0	182590	3.2693	182590	0	182590	3.2693	0	0	0.0000	NA	182590
	MINVAL BHARAT PATEL	AACP5126G	1	152404	0	0	152404	2.7288	152404	0	152404	2.7288	0	0	0.0000	NA	152404
	VENKATU SRINIVASAN	ABFPV0278F	1	79904	0	0	79904	1.4307	79904	0	79904	1.4307	0	0	0.0000	NA	79904
	PUNJABHAI KESHAJBHAI JADEJA	AEDPK1160L	1	60900	0	0	60900	1.0904	60900	0	60900	1.0904	0	0	0.0000	NA	60900
	RAKESH KUMAR JAIN	AAF PJ6867K	1	60153	0	0	60153	1.0770	60153	0	60153	1.0770	0	0	0.0000	NA	60153
(b) NBFCS registered with RBI			2	7300	0	0	7300	0.1307	7300	0	7300	0.1307	0	0	0.0000	NA	7300
(c) Employee Trusts																	

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Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2018

(d)	Category & Name of the Shareholders (I)	PAN (II)	Nos. of sharehold ers (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Sharehol ding % calculate d as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights (X)	No of shares Underlying convertible securities (including Warrants) (XI)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)=(VII)+(XI) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)	Number of of total equity shares held in demateria lized form (XIV)
									Class x	Class y	Total				(a)	(b)		
(e)	Any Other (specify)																	
	Investor Education and Protection Fund Authority		1	108105	0	0	108105	1.9356	108105	0	108105	1.9356	0	0.0000	0	0.0000	NA	108105
	INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY MINISTRY OF CORPORATE AFFAIRS	EXEMPTCATG	1	108105	0	0	108105	1.9356	108105	0	108105	1.9356	0	0.0000	0	0.0000	NA	108105
	Bodies Corporate		46	853311	0	0	853311	15.2786	853311	0	853311	15.2786	0	0.0000	0	0.0000	NA	847211
	ASTRA MERCHANDISING PRIVATE LIMITED	AAACAG338G	1	269509	0	0	269509	4.8256	269509	0	269509	4.8256	0	0.0000	0	0.0000	NA	269509
	Maya Trade Links Ltd.	AAACM2192G	2	221940	0	0	221940	3.9739	221940	0	221940	3.9739	0	0.0000	0	0.0000	NA	221940
	ANTRIKSH VYAPAAR LIMITED	AACCA5697E	1	175000	0	0	175000	3.1334	175000	0	175000	3.1334	0	0.0000	0	0.0000	NA	175000
	BISHNAUTH INVESTMENTS LIMITED	AABCM7767N	1	74000	0	0	74000	1.3250	74000	0	74000	1.3250	0	0.0000	0	0.0000	NA	74000
	Clearing Member		5	4218	0	0	4218	0.0755	4218	0	4218	0.0755	0	0.0000	0	0.0000	NA	4218
	Non Resident Individual		9	5002	0	0	5002	0.0896	5002	0	5002	0.0896	0	0.0000	0	0.0000	NA	2002
	Sub Total		1247	2500949	0	0	2500949	44.7797	2500949	0	2500949	44.7797	0	0.0000	0	0.0000	NA	2449389
	Total Public Shareholding (B)=(BY1)+(BY2)+(BY3)		1248	3050949	0	0	3050949	54.6276	3050949	0	3050949	54.6276	0	0.0000	0	0.0000	NA	2999389

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Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/12/2018

	Category & Name of the Shareholders (I)	PAN (II)	No of sharehold ers (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2 (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights (X)	No of underlying convertible securities (including Warrants) (XI)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)=(VII)+(XI) As a % of A+B+C2	Number of Locked in shares (XIII)		Number of shares pledged or otherwise encumbered (XIII)	As a % of total shares held (Not applicable) (b) (XIV)	Number of equity shares held in dematerialized form (XIV)
									Class x	Class y	Total				No. (a)	As a % of total shares held (b)			
(1)	Custodian / DR Holder																		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
	Total Non Promoter - Non Public Shareholding (C)=(C1)+(C2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

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SMIFS CAPITAL MARKETS LTD.				
Distribution Schedule as on 31/12/2018				
Share Holding	No of Holders	% age	No of Shares	% age
Upto 50	543	43.1638	5926	0.1061
51 to 100	175	13.9110	16596	0.2972
101 to 150	33	2.6232	4504	0.0806
151 to 250	99	7.8696	20581	0.3685
251 to 500	131	10.4134	53206	0.9527
501 to 5000	222	17.6471	363352	6.5059
Above 5000	55	4.3720	5120835	91.6891
Grand Total	1258	100.0000	5585000	100.0000
Distribution Schedule as on 31/12/2018				
Share Holding	No of Holders	% age	No of Shares	% age
Upto 500	981	77.9809	100813	1.8051
501 to 1000	91	7.2337	73232	1.3112
1001 to 2000	75	5.9618	110437	1.9774
2001 to 3000	31	2.4642	83526	1.4955
3001 to 4000	19	1.5103	67107	1.2016
4001 to 5000	6	0.4769	29050	0.5201
5001 to 10000	27	2.1463	189548	3.3939
Above 10000	28	2.2258	4931287	88.2952
Grand Total	1258	100.0000	5585000	100.0000
Distribution Schedule as on 31/12/2018				
Notional Value of (Rs.)	No of Holders	% age	Amount (Rs.)	% age
Upto 5000	981	77.9809	1008130	1.8051
5001 to 10000	91	7.2337	732320	1.3112
10001 to 20000	75	5.9618	1104370	1.9774
20001 to 30000	31	2.4642	835260	1.4955
30001 to 40000	19	1.5103	671070	1.2016
40001 to 50000	6	0.4769	290500	0.5201
50001 to 100000	27	2.1463	1895480	3.3939
Above 100000	28	2.2258	49312870	88.2952
Grand Total	1258	100.0000	55850000	100.0000

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*Poonam Bhatia*

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SMIFS CAPITAL MARKETS LTD.				
Shareholding Summary as on 31/12/2018				
As per Folio/Client Id				
Form	No of Records	No of Shares		
PHYSICAL	171	51560		
NSDL	797	4389541		
CDSL	508	1143899		
<b>TOTAL</b>	<b>1476</b>	<b>5585000</b>		

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