

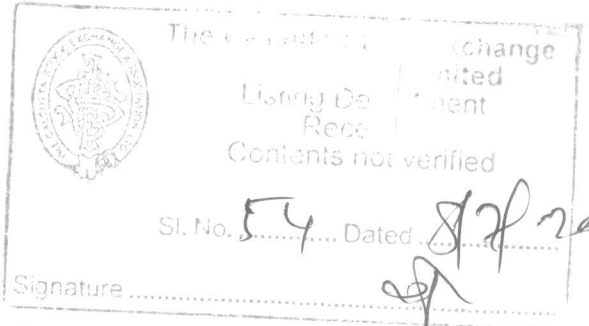


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# SMIFS CAPITAL MARKETS LIMITED

July 6, 2020

**The Secretary**  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata - 700 001



**Sub: Regulation 31 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015**

Dear Sir,

We are forwarding the Statement showing Shareholding as per Regulation 31 of the (Listing Obligations & Disclosure Requirements) Regulations, 2015 as on June 30, 2020 for your kind reference and record.

Kindly acknowledge.

Yours faithfully,  
For SMIFS Capital Markets Limited

**(Poonam Bhatia)**  
Company Secretary-cum-Senior Manager Legal



Encl: a/a

**Format of holding of specified securities**

1. Name of Listed Entity: SMIFS CAPITAL MARKETS LTD. (CIN:L74300WB1683PLC036342)

2. Scrip Code 506905 Name of Scrip -, SMIFS CAP MA Class of Security Equity

3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a. If under 31(1)(b) then indicate the report for Quarter ending: 30/06/2020

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledged or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

**Certified True Copy**  
 For SMIFS CAPITAL MARKETS LIMITED  
*P Bhatia*  
 (POONAM BHATIA)  
 COMPANY SECRETARY  
 CUM-SENIOR MANAGER LEGAL

Table I - Summary Statement holding of specified securities as on 30/06/2020

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)	
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)
								Class eg: x	Class eg: y	Total							
(A)	Promoter & Promoter group	10	2534051	0	0	2534051	45.3724	2534051	0	2534051	45.3724	0	45.3724	0	0.0000	0	0.0000
(B)	Public	1228	3050949	0	0	3050949	54.6276	3050949	0	3050949	54.6276	0	54.6276	0	0.0000	NA	
(C)	Non Promoter - Non Public															NA	
(C1)	Shares underlying DRs						NA									NA	
(C2)	Shares held by Employee Trusts															NA	
	Total	1238	5585000	0	0	5585000	100.0000	5585000	0	5585000	100.0000	0	100.0000	0			

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For SMIFS CAPITAL MARKETS LIMITED  
*Poonam*  
(POONAM BHATIA)  
COMPANY SECRETARY  
CUM-SENIOR MANAGER LEGAL

Number of equity shares held in dematerialized form (XIV)
2534051
3001609
5535660

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For SMIFS CAPITAL MARKETS LIMITED  
*P. Bhatia*  
(POONAM BHATIA)  
COMPANY SECRETARY  
CUM-SENIOR MANAGER LEGAL

