



SMIFS CAPITAL MARKETS LIMITED

September 14, 2019

The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001

Dear Sir,

Re: AGM of the Company held on September 14, 2019 at 10.00 a.m.

Sub: Details of the Voting Results as per Regulation 44(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

This is for your kind information that the Annual General Meeting of the Company was held on September 14, 2019 at Rrangoli Banquet Hall, 'Vaibhav', 4, Lee Road, Ground Floor, Kolkata - 700 020 at 10.00 a.m. We are forwarding you the Voting Results as per Regulation 44(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Please Acknowledge.

Yours Faithfully
For SMIFS CAPITAL MARKETS LIMITED

(Poonam Bhatia)
Company Secretary-cum- Senior Manager Legal

Format for Voting Results

Pursuant to Regulation 44 of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, the requisite information relating to the Annual General Meeting (AGM) of the Company, held on Saturday, 14th September, 2019 at 10.00 a.m. at Rrangoli Banquet Hall, 'Vaibhav', 4, Lee Road, Ground Floor, Kolkata - 700 020, is furnished below:

Date of the AGM	14th September, 2019
Total Number of Shareholders on record date	1557
No. of Shareholders present in the meeting either in person or through proxy <ul style="list-style-type: none">• Promoters & Promoter Group• Public	10 559
No. of Shareholders present in the meeting through Video Conferencing <ul style="list-style-type: none">• Promoters & Promoter Group• Public	Nil

Certified True Copy

SMIFS CAPITAL MARKETS LIMITED

Poo Nam Khanna
(POONAM KHANNA)

COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

SMIFS CAPITAL MARKETS LIMITED - CIN No: L74300WB1983PLC036342														
Regulation 44 of SEBI (Listing Obligations & Disclosure Requirements) Regulations,2015														
Resolution No. 1 (ORDINARY RESOLUTION)														
A.	Whether Promoter/Promoter Group are interested in the agenda/resolution?	No												
Category	Mode of Voting	No of Shares held	No of votes polled	% of votes polled on outstanding shares	No of votes- in favour	No of votes- against	% of votes in favour on votes polled	% of votes against on votes polled						
		1	2	3=(2/1*100)	4	5	6=(4/2)*100	7=(5/2)*100						
1	Promoter and Promoter Group	Evoting	2534051	2534051	100%	2534051	0	100%	0					
		Poll	0	0	0	0	0	0	0					
		Postabl Ballot(if applicable)	N.A	N.A	N.A	N.A	N.A	N.A.	N.A.	N.A				
		Total	2534051	2534051	100%	2534051	0	100%	0					
2	Public Institutions	Evoting	405740	405740	100%	405740	0	405740	0					
		Poll	563638	563638	100%	563638	0	563638	0					
		Postabl Ballot(if applicable)	N.A	N.A	N.A	N.A	N.A	N.A	N.A	N.A				
		Total	969378	969378	100%	969378	0	969378	0					
3	Public Non-Institutions	Evoting	9902	9902	100%	9902	0	9902	0					
		Poll	26417	26417	100%	26417	0	26417	0					
		Postabl Ballot(if applicable)	N.A	N.A	N.A	N.A	N.A	N.A	N.A	N.A				
		Total	36319	36319	100%	36319	0	36319	0					

For SMIFS CAPITAL MARKETS LIMITED

P. Bhatia
(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

SMIFS CAPITAL MARKETS LIMITED - CIN No: L74300WB1983PLC036342														
Regulation 44 of SEBI (Listing Obligations & Disclosure Requirements) Regulations,2015														
Resolution No. 2(ORDINARY RESOLUTION)														
B.	Whether Promoter/Promoter Group are interested in the agenda/resolution?	Yes												
	Category	Mode of Voting	No of Shares held	No of votes polled	% of votes polled on outstanding shares	No of votes- in favour	No of votes- against	% of votes in favour on votes polled	% of votes against on votes polled					
			1	2	3= (2/1*100)	4	5	6=(4/2)*100	7=(5/2)*100					
1	Promoter and Promoter Group	Evoting	2534051	1680850	66%	1680850	0	100.00	0					
		Poll	0	0	0	0	0	0	0					
		Postabl Ballot(if applicable)	0	0	0	0	0	0	0	0				
		Total	2534051	1680850	66%	1680850	0	100.00	0.00					
2	Public Institutions	Evoting	405740	405740	42%	405740	0	42%	0					
		Poll	563638	563638	58%	563638	0	58%	0					
		Postabl Ballot(if applicable)	N.A	N.A	N.A	N.A	N.A	N.A	N.A	N.A				
		Total	969378	969378	100%	969378	0	100%	0					
3	Public Non-Institutions	Evoting	9902	9902	27%	9902	0	27%	0					
		Poll	26417	26417	73%	26417	0	73%	0					
		Postabl Ballot(if applicable)	N.A	N.A	N.A	N.A	N.A	N.A	N.A	N.A				
		Total	36319	36319	100%	36319	0	100%	0					

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

P. Bhatia

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

SEEMA SHARMA
Company Secretary in Whole Time Practice

47, East Ghosh Para Road, Nimtalla More
Authpur, Shyamnagar
24 Parganas (North) - 743128
Mobile No.: +91 97483 03806
E-mail: csseemasharma@gmail.com

**REPORT OF SCRUTINIZER
COMBINED REPORT
(E-VOTING & POLL)**

September 14, 2019

To

**The Chairman,
The Board of Directors,
SMIFS Capital Markets Limited
4 Lee Road, Vaibhav
4th Floor,
Kolkata-700020**

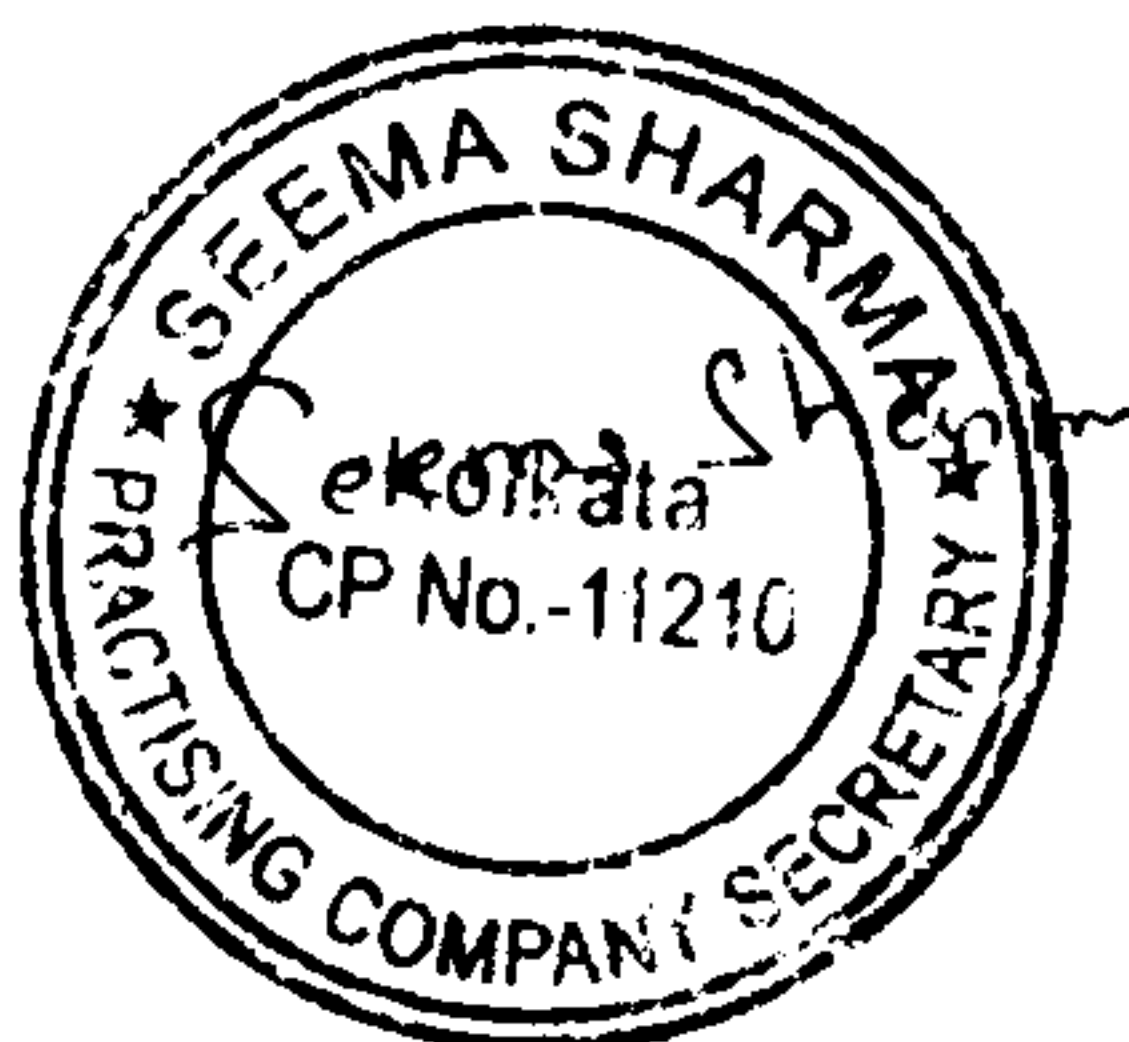
Sub: Combined Scrutinizer's Report on e-voting and Poll pursuant to the provisions of the section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014, on the agenda items transacted at the Thirty Sixth Annual General Meeting (AGM) of the Company held on Saturday 14TH September, 2019 at 10.00 a.m at Rrangoli Banquet Hall Vaibhav, 4 Lee Road, Kolkata-700020

Dear Sir,

I, **Seema Sharma**, a Practicing Company Secretary (ACS-24160 / CP-11210), had been appointed as the Scrutinizer by the Board of Directors of '**SMIFS CAPITAL MARKETS LIMITED**' pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014, as amended, to conduct the remote e-voting process and voting by poll taken in respect of the below mentioned resolutions passed at the 36th Annual General Meeting of the "**Company**" held on September 14, 2019 at Rrangoli Banquet Hall, Vaibhav, 4 Lee Road, Kolkata-700 020 at 10.00 a.m.

The Notice dated **28th May, 2019** along with statement setting out material facts under section 102 of the Act were sent to shareholders in respect of the below mentioned resolutions passed at the AGM of the Company.

The Public Advertisement with respect to dispatch of Notices & conducting of voting through electronic means was published in a English Newspaper "**Business Standard**" and in a Bengali Newspaper "**Ek Din**" having wide circulation, in their respective editions dated **9th August, 2019**.



SEEMA SHARMA

Company Secretary in Whole Time Practice

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E-mail: csseemasharma@gmail.com

The Management of the Company is responsible to ensure the compliance with the requirements of the 2013 Act and Rules relating to e-voting and Poll on the resolutions contained in the Notice to the 36th AGM of the members of the Company. My responsibility as a Scrutinizer for the e-voting process is restricted to make a **Scrutinizer's Report** on the votes cast "in favour" or "against" or "abstained", in respect of the resolutions stated below, based on the reports generated from the e-voting system provided by **Central Depository Services (India) Limited (CDSL)**, the authorized agency engaged by the Company to provide e-voting facilities for e-voting.

The Company had also provided for voting through Poll at the AGM venue at the 36th Annual General Meeting, to the shareholders who had not cast their votes earlier through remote e-voting facility.

The Members of the Company as on the "Cut off" date i.e. **September 7, 2019 (Saturday)** were entitled to vote on the resolutions (items Nos. 1 to 2 as set out in the notice of the 36th Annual General Meeting of the Company)

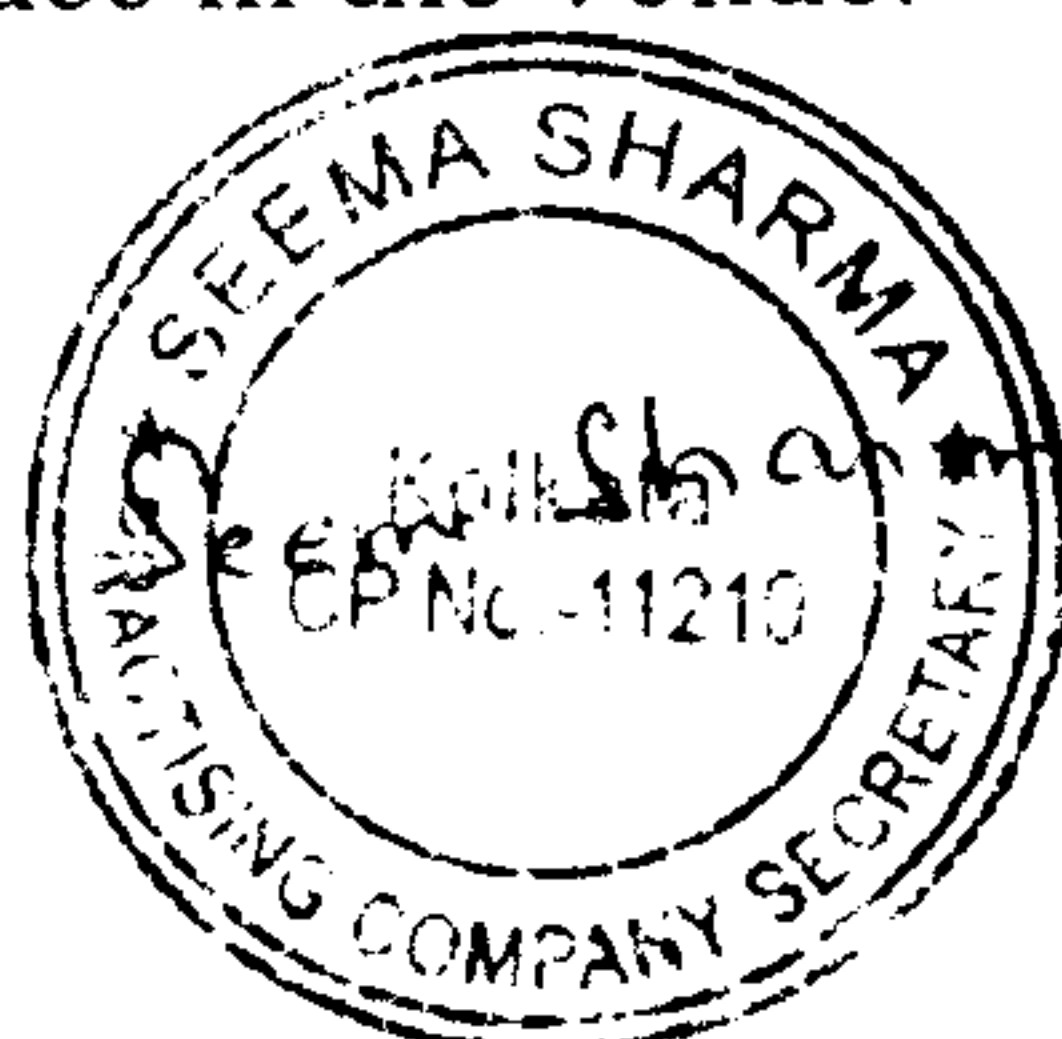
Further to the above, I submit my report as under:

Remote e-voting

1. The e-voting period remained open from **Tuesday, September 10, 2019 (10.00 A.M)** to **Friday, September 13, 2019 (5.00 P.M)**(both days inclusive).
2. The votes cast under remote e-voting facility where thereafter unblocked in presence of two witnesses who were not in employment of the Company.
3. The Corporate Members who had participated in the remote e-voting had provided scanned copy of the resolution passed at their Board of Directors Meeting for authorization to exercise their votes through e-voting.

Voting through Poll at the AGM Venue

1. The Company had provided the facility for voting through Poll at the AGM and Members, who have not cast their vote by remote e-voting, were allowed to exercise their right to vote at the meeting.
2. The Polling papers in form MGT-12 as per section 109(5) of the Act & Rule 21(1)(c) of the Companies (Management and Administration) Rules, 2014 were distributed to shareholders present. The Shareholders cast their votes in the ballot box kept at the convenient place in the Venue.



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3. The locked ballot box was subsequently opened before me, in presence of two witnesses who were not in employment of the Company and Ballot papers were diligently scrutinized. The Ballot papers were reconciled with the records maintained by the Company and the authorizations/proxies lodged with the Company.
4. There were **19 invalid votes for item no 1.**
5. There were **19 invalid votes and 853201 abstained Votes for Item No. 2**

Thereafter, the consolidated results containing, inter alia, list of Equity Shareholders, who voted "for", "against" or "abstained", in respect of the each of the resolutions that were put to vote, were generated from the e-voting website of **Central Depository Services (India) Limited (CDSL)** i.e <https://www.evotingindia.com> and voting through Poll at the AGM Venue was prepared.

The consolidated Results are as follows:

Item no. 1 of the Notice (as an Ordinary Resolution)		To receive, consider and adopt the Audited Balance Sheet of the Company as at March 31 2019, the Statement of Profit & Loss and the Cash Flow Statements for the year ended on that date and the reports of the Board of Directors' and Auditors' thereon							
Voting Method	Total Valid Votes	Votes in favour of the Resolution			Votes against the Resolution			Invalid Votes	Abstained Votes
		No of folios	No of shares	% of total no of valid votes cast	No of folios	No of shares	% of total no of valid votes cast		
Remote e-voting	2949693	26	2949693	100%	-	-	-	-	-
Voting through Poll at AGM Venue	590055	100	590055	100%	-	-	-	19	-
Total	3539748	126	3539748	100%	-	-	-	19	-



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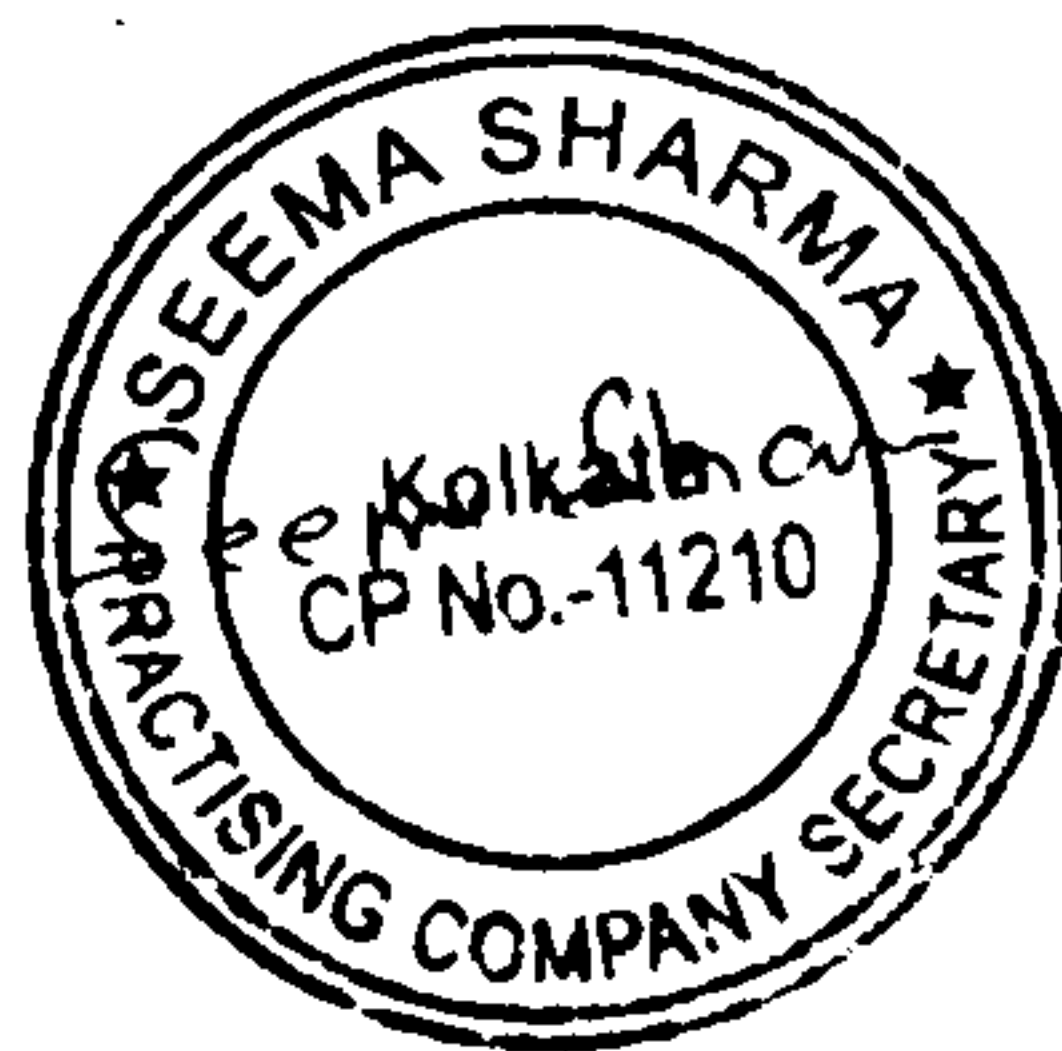
Mobile No.: +91 97483 03806

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Item no. 2 of the Notice (as an Ordinary Resolution)		To appoint Director in place of Mr. Utsav Parekh (DIN 00027642), who retires by rotation and being eligible, offers himself for re-appointment.							
Voting Method	Total Valid Votes	Votes in favour of the Resolution			Votes against the Resolution			Invalid Votes	Abstained Votes
		No of folios	No of shares	% of total no of valid votes cast	No of folios	No of shares	% of total no of valid votes cast		
Remote e-voting	2096492	22	2096492	100%	-	-	-	-	853201
Voting through Poll at AGM Venue	590055	100	590055	100%	-	-	-	19	-
Total	2686547	122	2686547	100%	-	-	-	19	853201

All the Resolutions have been passed with requisite majority.

The Data Sheet relating to e-voting/Poll papers are in safe custody of the undersigned, and it will be handed over to the Company.



SEEMA SHARMA
Company Secretary in Whole Time Practice

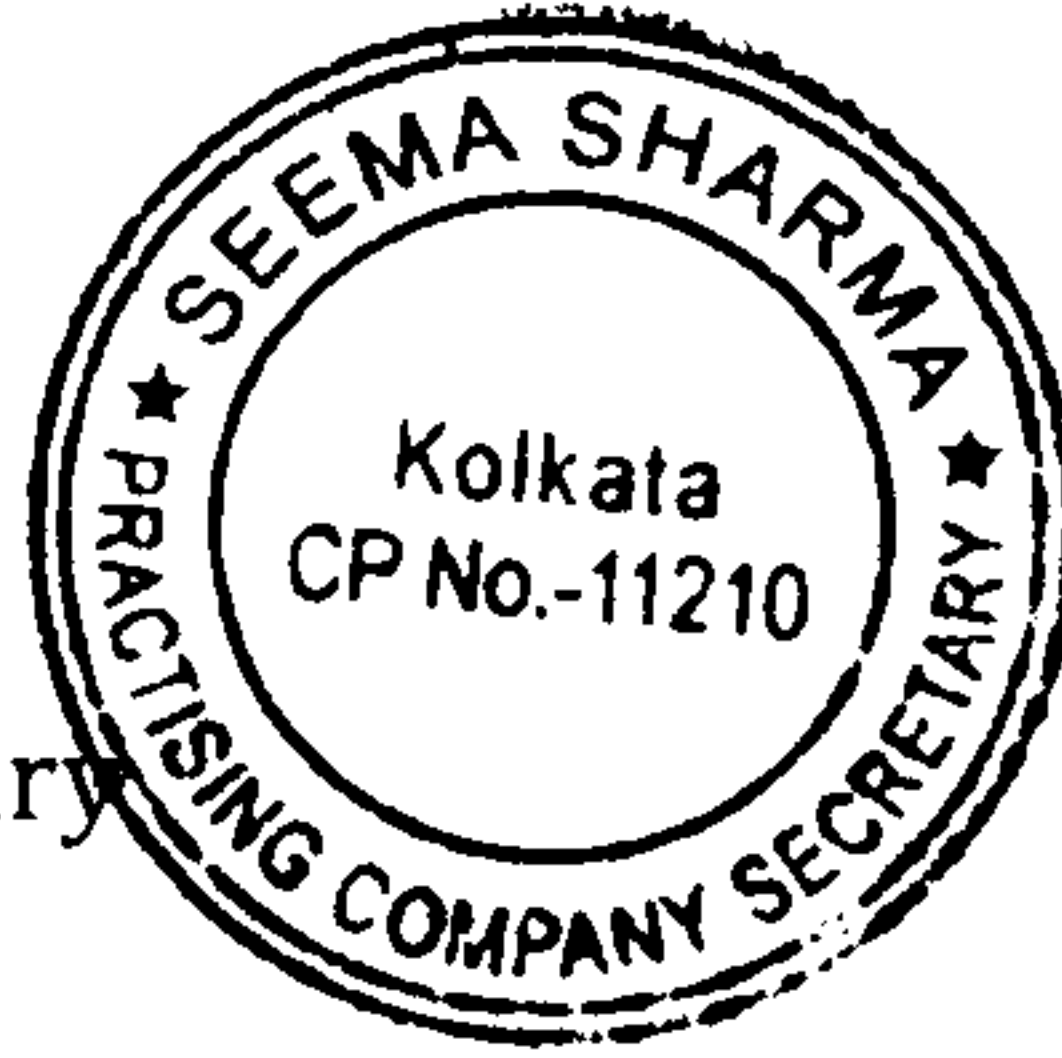
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Thanking you,

Yours faithfully,

Seema Sharma

Seema Sharma
Practicing Company Secretary



M. No. - ACS 24160
C.P No. 11210

We, the undersigned witness that the votes in respect of e-Voting of Shareholders of SMIFS Capital Markets Limited were unblocked from e-Voting website of Central Depository (Services) India Limited in our presence at on 14th September 2019.

Dimple Ghosh

Dimple Ghosh
47, East Ghosh Para Road
Nimtalla More
Authpur, Shyamnagar
24 Parganas(North)-743128

Sapna Pasari

Sapna Pasari
7E, Motijheel Avenue, Sparsha
Apartment, Kolkata-700074

Countersigned by
For **SMIFS Capital Markets Limited**

Utsav Parekh

Utsav Parekh
Chairman
DIN:00027642