



CSE file

SMIFS CAPITAL MARKETS LIMITED

May 18, 2023

The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata – 700 001

Dear Sir,

Sub: Submission of Disclosure on Related Party Transactions

Pursuant to Regulation 23(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby enclose the disclosure of Related Party Transactions on the basis of consolidated financial statements for the year ended March 31, 2023.

This is for your information and records.

Thanking you,

Yours faithfully,
For **SMIFS Capital Markets Limited**

Ayanti Sen

(Ayanti Sen)
Company Secretary –cum–Compliance Officer



Encl: a/a

Home

Validate

General information about company

Name of The Company	SMIFS Capital Markets Limited		
BSE Scrip Code	508905		
NSE Symbol			
MSE Symbol			
Date of Start of Financial Year	01	04	2022
Date of End of Financial Year	31	03	2023
Reporting Period	Second half yearly		
Date of Start of Reporting Period	01	10	2022
Date of End of Reporting Period	31	03	2023
Level of rounding to be used in disclosing related party transactions	Lakhs		
Whether the company has any related party?	Yes		
Whether the company has entered into any Related Party transaction during the selected half year for which it wants to submit disclosure?	Yes		

(i) We declare that the acceptance of fixed deposits by the bans/Non-Banking Finance Company are at the terms uniformly applicable/offered to all shareholders/public	NA
(ii) We declare that the scheduled commercial bank, as per RBI circular RBI/DBR/2015-16/19 dated March 03, 2016, has allowed additional interest of one per cent per annum, over and above the rate of interest mentioned in the schedule of interest rates on savings or a term deposits of bank's staff and their exclusive associations as well as on deposits of Chairman, Chairman & Managing Director, Executive Director or such other Executives appointed for a fixed tenure.	NA
(iii) Whether the company is a 'high value debt listed entity' according to regulation 15 (1A)?	No
(a) If answer to above question is Yes, whether complying with proviso to regulation 23 (9), i.e., submitting RPT disclosures on the day of results publication?	
(b) If answer to above question is No, please explain the reason for not complying.	

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For SMIFS CAPITAL MARKETS LIMITED

Ayanti Sen
 (AYANTI SEN)
 COMPANY SECRETARY
 CUM COMPLIANCE OFFICER

Related party transactions

Sl. No.	Details of the party (listed entity /subsidiary) entering into the transaction		Details of the counterparty			Type of related party transaction	Details of other related party transaction	Value of the related party transaction as approved by the audit committee	Remarks on approval by audit committee	Value of transaction during the reporting period	In case monies are due to the Co Opening balance
	Name	PAN	Name	PAN	Relationship of the counterparty with the listed entity or its subsidiary						
	<input type="button" value="Add"/>	<input type="button" value="Delete"/>									
1.	SMIFS CAPITAL MARKETS LIMITED	AAEC34139D	SMIFS LIMITED	AAEC17513E	Entities where Key Managerial Personnel and their relatives	Any other transaction	Brokerages paid for sale of shares	600000.00	NA	23221.30	0.00
2.	SMIFS CAPITAL MARKETS LIMITED	AAEC34139D	Mr. Uday Pareek	AGHPR4667H	Promoter	Any other transaction	Sitting Fees for Attending Board	60000.00	NA	60000.00	0.00
3.	SMIFS CAPITAL MARKETS LIMITED	AAEC34139D	Mr. Ajay Kumar Kayan	AGGPK0892G	Promoter	Any other transaction	Sitting Fees for Attending Board	40000.00	NA	40000.00	0.00
4.	SMIFS CAPITAL MARKETS LIMITED	AAEC34139D	Mr. Santosh Kumar Mukherjee	AFAPM3116N	Independent Director	Any other transaction	Sitting Fees for Attending Board	70000.00	NA	70000.00	0.00
5.	SMIFS CAPITAL MARKETS LIMITED	AAEC34139D	Mr. Ramesh Maheshwari	AEXFM8916N	Independent Director	Any other transaction	Sitting Fees for Attending Board	20000.00	NA	20000.00	0.00
6.	SMIFS CAPITAL MARKETS LIMITED	AAEC34139D	Mrs. Pooja Mishra	ANVPM1278L	Independent Director	Any other transaction	Sitting Fees for Attending Board	20000.00	NA	20000.00	0.00
7.	SMIFS CAPITAL MARKETS LIMITED	AAEC34139D	Mr. Kishor Shah	AIGPS4268J	Managing Director	Remuneration		6910154.00	NA	6910154.00	0.00
8.	SMIFS CAPITAL MARKETS LIMITED	AAEC34139D	Mr. Shreemanta Banerjee	AIEFB0462F	CEO cum Vice President Finance and Taxation	Remuneration		1244077.00	NA	1244077.00	0.00
9.	SMIFS CAPITAL MARKETS LIMITED	AAEC34139D	Mr. Ayant Sen	EDSP2658B	Company secretary and Compliance Officer	Remuneration		225302.00	NA	225302.00	0.00
10.	SMIFS CAPITAL MARKETS LIMITED	AAEC34139D	Ms. Ishika Basu	DWDR87161P	Company secretary of Wholly Owned Subsidiary Company	Remuneration		33715.00	NA	33715.00	0.00
11.	SMIFS CAPITAL MARKETS LIMITED	AAEC34139D	Mr. Rahul Kayan	AGAPK0442J	Son of Director Mr. Ajay Kumar Kayan	Remuneration		181200.00	NA	181200.00	0.00
12.	SMIFS CAPITAL MARKETS LIMITED	AAEC34139D	Mr. Amar Saraf	AMCF54166M	Daughter's Husband of Director Mr. Ajay Kumar Kayan	Remuneration		181200.00	NA	181200.00	0.00
13.	SMIFS CAPITAL MARKETS LIMITED	AAEC34139D	SMIFS CAPITAL SERVICES LIMITED	AAFC54329F	Whollyowned Subsidiary Company	Any other transaction	Payment of Dividend fund of Wholly Owned	300000.00	NA	55612.00	0.00
Total value of transaction during the reporting period										9104486.3	0.00

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For SMIFS CAPITAL MARKETS LIMITED

Ayant Sen

**(AYANTI SEN)
COMPANY SECRETARY
CUM COMPLIANCE OFFICER**

