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General information about company

Scrip code	508905
NSE Symbol	
MSEI Symbol	
ISIN	INE641A01013
Name of the company	SMIFS CAPITAL MARKETS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Capital Restructuring
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	25-10-2024
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	25-10-2024
Shareholding pattern filed under	Regulation 31 (1) (c)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

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Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	Yes	Yes	Yes	No
3	Whether the Listed Entity has issued any Warrants ?	Yes	Yes	Yes	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table 1 - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		
								Class eg: X	Class eg: Y	Total
(A)	Promoter & Promoter Group	6	3064051			3064051	52.14	30,64,051.00		3064051.00
(B)	Public	1761	2812949			2812949	47.86	2812949.00		2812949.00
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total	1767	5877000			5877000	100	5877000.00		5877000.00

Total as a % of (A+B+C)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a share capital) (X) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Shareholding (No. of shares) under	Sub-category (i)	Sub-category (ii)
52.14	0	388000	388000	44.27	96200	3.14			3064051			
47.86	0	1532000	1532000	55.73	1560	0.06			2480467	0	0	0
100.00	0	1920000	1920000	100	97760	1.66			5544518	0	0	0

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Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group						
(1)	Indian						
(a)	Individuals/Hindu undivided Family	2	219200			219200	3.73
(b)	Central Government/ State Government(s)						
(c)	Financial Institutions/ Banks						
(d)	Any Other (specify)	4	2844851			2844851	48.41
	Sub-Total (A)(1)	6	3064051			3064051	52.14
(2)	Foreign						
(a)	Individuals (NonResident Individuals/ Foreign Individuals)						
(b)	Government						
(c)	Institutions						
(d)	Foreign Portfolio Investor						
(e)	Any Other (specify)						
	Sub-Total (A)(2)						
	Total Shareholding of Promoter and Promoter Group (A)=[A](1)+(A)(2)	6	3064051			3064051	52.14
	Details of Shares which remain unclaimed for Promoter & Promoter Group						
B	Table III - Statement showing shareholding pattern of the Public shareholder <small>Note : Kindly show details of shareholders having more than one percentage of total no of shares. Please refer software manual.</small>						
(1)	Institutions (Domestic)						
(a)	Mutual Funds						
(b)	Venture Capital Funds						
(c)	Alternate Investment Funds						
(d)	Banks						
(e)	Insurance Companies						
(f)	Provident Funds/ Pension Funds						
(g)	Asset reconstruction companies						
(h)	Sovereign Wealth Funds						
(i)	NBFCs registered with RBI						
(j)	Other Financial Institutions						
(k)	Any Other (specify)						
	Sub-Total (B)(1)						
(2)	Institutions (Foreign)						
(a)	Foreign Direct Investment						
(b)	Foreign Venture Capital Investors						
(c)	Sovereign Wealth Funds						
(d)	Foreign Portfolio Investors Category I	1	0			0	0.00
(e)	Foreign Portfolio Investors Category II						
(f)	Overseas Depositories (holding DRs) (balancing figure)						
(g)	Any Other (specify)						
	Sub-Total (B)(2)	1	0			0	0.00
(3)	Central Government / State Government(s)						
(a)	Central Government / President of India						
(b)	State Government / Governor						
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter						
	Sub-Total (B)(3)						
(4)	Non-institutions						
(a)	Associate companies / Subsidiaries						
(b)	Directors and their relatives (excluding independent directors and nominee directors)						
(c)	Key Managerial Personnel						
(d)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)						

(e)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'					
(f)	Investor Education and Protection Fund (IEPF)	1	126011		126011	2.14
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	1652	794745		794745	13.52
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	12	1038611		1038611	17.67
(i)	Non Resident Indians (NRIs)	10	13503		13503	0.23
(j)	Foreign Nationals					
(k)	Foreign Companies					
(l)	Bodies Corporate	38	765499		765499	13.03
(m)	Any Other (specify)	47	74580		74580	1.27
Sub-Total (B)(4)		1760	2812949		2812949	47.86
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		1761	2812949		2812949	47.86

Details of the shareholders acting as persons in Concert for Public

Details of Shares which remain unclaimed for Public

C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder						
(1)	Custodian/DR Holder: Name of DR Holders (if Available)					
(2)	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021					
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)						
Total (A+B+C2)		1767	5877000		5877000	100.00
Total (A+B+C)		1767	5877000		5877000	100.00

Disclosure of notes on shareholding pattern

Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage

Disclosure of notes in case of public share holding is less than 25 percentage

Disclosure of notes on shareholding pattern for company remarks explanatory

126011		126011	2.14	0	0	0	1.62
794745		794745	13.52	0	0	0	10.19
1038611		1038611	17.67	0	0	0	13.32
13503		13503	0.23	0	0	0	0.17
765499		765499	13.03	0	1150000	1150000	24.57
74580		74580	1.27	0	0	0	0.96
2812949.00		2812949	47.86	0	1150000	1150000	50.83
2812949		2812949	47.86	0	1532000	1532000	55.73

5877000.00		5877000	100.00	0	1920000	1920000	100.00
5877000.00		5877000	100.00	0	1920000	1920000	100.00
Add Notes							
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0	0.00		126011	0	0	0
0	0.00		763363	0	0	0
0	0.00		1038611	0	0	0
0	0.00		10503	0	0	0
1560	0.20		467399	0	0	0
0	0.00		74580	0	0	0
1560.00	0.06		2480467	0	0	0
1560	0.06		2480467	0	0	0

97760	1.66		5544518	0	0	0
97760	1.66		5544518	0	0	0

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Serial No.	Name of the Shareholders (i)	PAN (ii)	No. of fully paid up equity shares held (iv)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)		
						Class eg: X	Total	Total as a % of Total Voting rights
A1(a) Individuals/Hindu undivided Family								
	Add	Delete						
1	NILANGI PAREKH	ALPPP3852K	123000	123000	2.09	123000.00	123000.00	2.09
2	UTSAV PAREKH	AGHPP4467H	96200	96200	1.64	96200.00	96200.00	1.64
3	GAURI SHANKAR AJAY KUMAR (HUF)	AABHG5183R	0	0	0.00	0.00	0.00	0.00
4	AJAY KUMAR KAYAN (HUF)	AACHA9009B	0	0	0.00	0.00	0.00	0.00
5	SUMAN BHARTIA	AEEP89602B	0	0	0.00	0.00	0.00	0.00
6	PAYAL SARAF	AFYPK7045N	0	0	0.00	0.00	0.00	0.00
7	RAHUL KAYAN	AGAPK0442L	0	0	0.00	0.00	0.00	0.00
8	Ajay Kumar Kayan	AGGPK0892G	0	0	0.00	0.00	0.00	0.00
9	LALITA KAYAN	AGGPK0893H	0	0	0.00	0.00	0.00	0.00
		<u>Total</u>	219200	219200	3.73	219200.00	219200.00	3.73

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No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (XI)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(XI)(a) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
				No. (a)	As a % of total Shares held (b)			
0	0	0	1.58	0	0.00	123000		Promoter Group
0	388000	388000	6.21	96200	100.00	96200		Promoter
0	0	0	0.00	0	0.00	0		Promoter Group
0	0	0	0.00	0	0.00	0		Promoter Group
0	0	0	0.00	0	0.00	0		Promoter Group
0	0	0	0.00	0	0.00	0		Promoter Group
0	0	0	0.00	0	0.00	0		Promoter
0	0	0	0.00	0	0.00	0		Promoter Group
0	388000	388000	7.79	96200	43.89	219200		Promoter Group

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Serial No.	Category	Name of the Shareholders (i)	PAN (ii)	No. of the Shareholders (i)	No. of fully paid up equity shares held (iv)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class	
								Class eg: X	Total
A1(d) Any Other (specify)									
	Add	Delete							
1	Bodies Corporate	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	AABCP6020F	1	1185751	1185751	20.18	1185751.00	1185751.00
2	Bodies Corporate	STEWART INVESTMENT AND FINANCIAL PRIVA	AADCS9812C	1	841900	841900	14.33	841900.00	841900.00
3	Bodies Corporate	MACKERTICH CONSULTANCY SERVICES PRIVAT	AABCM5680Q	1	557200	557200	9.48	557200.00	557200.00
4	Bodies Corporate	LEND LEASE COMPANY (INDIA) LTD.	AAACL4431F	1	260000	260000	4.42	260000.00	260000.00
			Total	4	2844851	2844851	48.41	2844851.00	2844851.00

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ss of securities	Total as a % of Total Voting rights	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (X1)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (X1) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X1) = (VII) + (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
						No. (a)	As a % of total Shares held (b)			
	20.18	0	0	0	15.21	0	0.00	1185751		Promoter
	14.33	0	0	0	10.80	0	0.00	841900		Promoter
	9.48	0	0	0	7.15	0	0.00	557200		Promoter
	4.42	0	0	0	3.33	0	0.00	260000		Promoter Group
	48.41	0	0	0	36.49	0	0.00	2844851		Promoter Group

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Serial No.	Name of the Shareholders (i)	PAN (ii)	No. of fully paid up equity shares held (iv)	Total nos. shares held (vii) = (v)+(vi)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)		Total as a % of Total Voting rights	No. Of Shares Underlying Outstanding convertible securities (x)
						No of Voting Rights	Total		
B4(h) Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.									
<div style="text-align: center;"> Add Delete </div> <p style="text-align: center;">Disclosure of shareholder holding more than 1% of total number of shares</p>									
1	PUNJABHAI KESHAVBHAI JADEJA	AEDPK1160L	247111	247111	4.20	247111.00	247111.00	4.20	0
2	MINAL BHARAT PATEL	AACPP5126G	196431	196431	3.34	196431.00	196431.00	3.34	0
3	SANGEETHA S	AJPS3739F	165000	165000	2.81	165000.00	165000.00	2.81	0
4	BHOPENDRA KUMAR	APM/PK3832R	105856	105856	1.80	105856.00	105856.00	1.80	0
5	RUCHI GANGWAR	AONPG0792C	73406	73406	1.25	73406.00	73406.00	1.25	0
6	MITHALAL HASTIMAL GANDHI	ABGPG9310G	62087	62087	1.06	62087.00	62087.00	1.06	0
Total			849891	849891	14.46	849891.00	849891.00	14.46	0

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No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % of convertible securities (as a percentage of diluted share capital) (Xi) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
			No. (a)	As a % of total Shares held (b)			Shareholding (No. of shares) under	Sub-category (i)	Sub-category (ii)
0	0	3.17	0	0.00	247111		0	0	0
0	0	2.52	0	0.00	196431		0	0	0
0	0	2.12	0	0.00	165000		0	0	0
0	0	1.36	0	0.00	105856		0	0	0
0	0	0.94	0	0.00	73406		0	0	0
0	0	0.80	0	0.00	62087		0	0	0
0	0	10.90	0	0.00	849891		0	0	0

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Serial No.	Name of the Shareholders (i)	PAN (ii)	No. of fully paid up equity shares held (iv)	Total nos. shares held (vii) = (iv)+(v) + (vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)		Total as a % of Total Voting rights	No. Of Shares Underlying Outstanding convertible securities (x)
						Class egr. X	Total		
B4(i) Bodias Corporate									
Disclosure of shareholder holding more than 1% of total number of shares									
	Add	Delete							
1	Merlin Resources Pvt Ltd	AABCM7285P	292000	292000	4.97	292000.00	292000.00	4.97	0
2	PLASMA COMMERCIALS PRIVATE LIMITED	AAGCP3305G	264500	264500	4.50	264500.00	264500.00	4.50	0
3	ANTRIKSH VYAPAAR LIMITED	AACCA5697E	73221	73221	1.25	73221.00	73221.00	1.25	0
4	SHREE BAHUBALI STOCK BROKING LIMITED	AAECS4019E	60888	60888	1.04	60888.00	60888.00	1.04	0
		Total	690609	690609	11.75	690609.00	690609.00	11.75	0

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No. of Shares Underlying Outstanding Warrants (X1)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (X1) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X1) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
			No. (a)	As a % of total Shares held (b)			Shareholding (No. of shares) under		
							Sub-category (i)	Sub-category (ii)	Sub-category (iii)
0	0	3.75	0	0.00	0		0	0	0
0	0	3.39	0	0.00	264500		0	0	0
0	0	0.94	0	0.00	73221		0	0	0
0	0	0.78	0	0.00	60888		0	0	0
0	0	8.86	0	0.00	398609		0	0	0

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Details of the SBO

Details of the registe

Sr. No.	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national
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significant beneficial owners

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1	UTSAV PAREKH	AGHPP4467H		India		PROGRESSIVE STAR FINANCE STEWART INVESTMENT	AABCP6020F	
2	UTSAV PAREKH	AGHPP4467H		India			AADCS8612C	

Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					Date of creation / acquisition of significant beneficial interest	
		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence		
India		21.23	21.23		0.00	No	No	27-03-2024
India		15.07	15.07		0.00	No	No	05-09-2024

Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:

3red owner

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Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100.00	0.23
As on the end of previous 1st quarter	100.00	0.26
As on the end of previous 2nd quarter	100.00	5.16
As on the end of previous 3rd quarter	100.00	5.15
As on the end of previous 4th quarter	100.00	9.92

Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.