

<b>General information about company</b>	
Scrip code	508905
Name of the company	SMIFS CAPITAL MARKETS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	<b>Particular</b>	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

<b>Table I - Summary Statement holding of specified securities</b>											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	10	2393551			2393551	42.86	2393551		2393551	42.86
(B)	Public	1346	3191449			3191449	57.14	3191449		3191449	57.14
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1356	5585000			5585000	100	5585000		5585000	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				42.86					2393551
(B)	Public				57.14					3041608
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					5435159

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	7	542650			542650	9.72	542650		542650	9.72
(d)	Any Other (specify)	3	1850901			1850901	33.14	1850901		1850901	33.14
Sub-Total (A)(1)		10	2393551			2393551	42.86	2393551		2393551	42.86
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		10	2393551			2393551	42.86	2393551		2393551	42.86
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	1	550000			550000	9.85	550000		550000	9.85
Sub-Total (B)(1)		1	550000			550000	9.85	550000		550000	9.85
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1255	605907			605907	10.85	605907		605907	10.85
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	14	903067			903067	16.17	903067		903067	16.17
(e)	Any Other (specify)	76	1132475			1132475	20.28	1132475		1132475	20.28
Sub-Total (B)(3)		1345	2641449			2641449	47.3	2641449		2641449	47.3
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1346	3191449			3191449	57.14	3191449		3191449	57.14
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		1356	5585000			5585000	100	5585000		5585000	100
Total (A+B+C)		1356	5585000			5585000	100	5585000		5585000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				9.72					542650
(d)				33.14					1850901
Sub-Total (A) (1)				42.86					2393551
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				42.86					2393551
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)				9.85					550000
Sub-Total (B) (1)				9.85					550000
(3)	Non-institutions								
(a(i))				10.85					526866
(a(ii))				16.17					903067
(e)				20.28					1061675
Sub-Total (B) (3)				47.3					2491608
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				57.14					3041608
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					5435159
Total (A+B+C )				100					5435159

<b>Individuals/Hindu undivided Family</b>							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	AJAY KUMAR KAYAN	NILANGI PAREKH	UTSAV PAREKH	LALITA KAYAN	RAHUL KAYAN	SUMAN BHARTIA	PAYAL SHARMA
PAN (II)	AGGPK0892G	AJPPP3852K	AGHPP4467H	AGGPK0893H	AGAPK0442L	AEEP9602B	AFYPK7045N
No. of fully paid up equity shares held (IV)	301050	123000	96200	13800	7000	1500	100
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	301050	123000	96200	13800	7000	1500	100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.39	2.2	1.72	0.25	0.13	0.03	0
<b>Number of Voting Rights held in each class of securities (IX)</b>							
Class eg: X	301050	123000	96200	13800	7000	1500	100
Class eg: y							
Total	301050	123000	96200	13800	7000	1500	100
Total as a % of Total Voting rights	5.39	2.2	1.72	0.25	0.13	0.03	0
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	5.39	2.2	1.72	0.25	0.13	0.03	0
<b>Number of Locked in shares (XII)</b>							
No. (a)							
As a % of total Shares held (b)							
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>							
No. (a)							

As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	301050	123000	96200	13800	7000	1500	100
Reason for not providing PAN							
Reason for not providing PAN							



<b>Individuals/Hindu undivided Family</b>	
Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	542650
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	542650
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.72
<b>Number of Voting Rights held in each class of securities (IX)</b>	
Class eg: X	542650
Class eg: y	
Total	542650
Total as a % of Total Voting rights	9.72
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	9.72
<b>Number of Locked in shares (XII)</b>	
No. (a)	
As a % of total Shares held (b)	
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>	
No. (a)	

As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	542650
Reason for not providing PAN	
Reason for not providing PAN	

Any Other (specify)				
Serial No.	1	2	3	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	MACKERTICH CONSULTANCY SERVICES PRIVATE LIMITED	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	STEWART INVESTMENT AND FINANCIAL PRIVATE LIMITED	
PAN (II)	AABCM5680Q	AABCP6020F	AADCS8612C	Total
No. of the Shareholders (I)	1	1	1	3
No. of fully paid up equity shares held (IV)	1216900	357251	276750	1850901
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1216900	357251	276750	1850901
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	21.79	6.4	4.96	33.14
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	1216900	357251	276750	1850901
Class eg:y				
Total	1216900	357251	276750	1850901
Total as a % of Total Voting rights	21.79	6.4	4.96	33.14
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	21.79	6.4	4.96	33.14
Number of Locked in shares (XII)				
No. (a)				

As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	1216900	357251	276750	1850901
Reason for not providing PAN				

<b>Foreign Portfolio Investors</b>		
Serial No.	1	
Name of the Shareholders (I)	THE INDIAMAN FUND (MAURITIUS) LIMITED	<a href="#">Click here to go back</a>
PAN (II)	AAACT4855D	Total
No. of fully paid up equity shares held (IV)	550000	550000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	550000	550000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.85	9.85
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	550000	550000
Class eg:y		
Total	550000	550000
Total as a % of Total Voting rights	9.85	9.85
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	9.85	9.85
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	550000	550000

Reason for not providing PAN		
Reason for not providing PAN		

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	S N RAJAN	SUBRAMANIAN P	VENKATTU SRINIVASAN	RAKESH KUMAR JAIN	<a href="#">Click here to go back</a>
PAN (II)	ANWPS3969F	AMBPS8931K	ABFPV0278F	AAFPJ6867K	Total
No. of fully paid up equity shares held (IV)	356818	153952	84901	66560	662231
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	356818	153952	84901	66560	662231
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.39	2.76	1.52	1.19	11.86
<b>Number of Voting Rights held in each class of securities (IX)</b>					
Class eg: X	356818	153952	84901	66560	662231
Class eg:y					
Total	356818	153952	84901	66560	662231
Total as a % of Total Voting rights	6.39	2.76	1.52	1.19	11.86
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.39	2.76	1.52	1.19	11.86
<b>Number of Locked in shares (XII)</b>					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in	356818	153952	84901	66560	662231

dematerialized form (XIV)					
Reason for not providing PAN					
Reason for not providing PAN					



Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Clearing Members	NRI
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category
Name of the Shareholders (I)		MAYA TRADE LINKS LIMITED	ASTRA MERCHANDISING PRIVATE LIMITED	SUDHA COMMERCIAL COMPANY LIMITED	BISHNAUTH INVESTMENTS LIMITED		
PAN (II)		AAACM2192G	AAACA6338G	AAECS2669C	AABCM7767N		
No. of the Shareholders (I)	54	1	1	1	1	11	11
No. of fully paid up equity shares held (IV)	1065379	398590	269509	140500	74000	8701	58395
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1065379	398590	269509	140500	74000	8701	58395
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.08	7.14	4.83	2.52	1.32	0.16	1.05
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1065379	8701	269509	140500	74000	8701	58395
Class eg:y							
Total	1065379	8701	269509	140500	74000	8701	58395
Total as a % of Total Voting rights	19.08	0.16	4.83	2.52	1.32	0.16	1.05
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=	19.08	7.14	4.83	2.52	1.32	0.16	1.05

(VII)+(X) As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1047579	398590	269509	140500	74000	8701	5395
Reason for not providing PAN							
Reason for not providing PAN							

<b>Any Other (specify)</b>	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	76
No. of fully paid up equity shares held (IV)	1132475
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1132475
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	20.29
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	1132475
Class eg:y	
Total	1132475
Total as a % of Total Voting rights	20.29
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	20.29
Number of Locked in shares (XII)	
No. (a)	

As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	1061675
Reason for not providing PAN	
Reason for not providing PAN	

