



SMIFS CAPITAL MARKETS LIMITED

July 25, 2019

The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700 001

Sub: Regulation 31 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015(Revised)

Dear Sir,

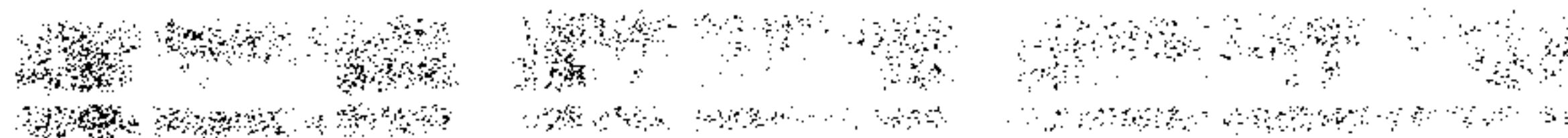
We are forwarding the Statement showing Shareholding as per Regulation 31 of the (Listing Obligations & Disclosure Requirements) Regulations, 2015 as on June 30, 2019 for your kind reference and record

Kindly acknowledge.

Yours faithfully,
For SMIFS Capital Markets Limited

(Poonam Bhatia)
Company Secretary-cum-Senior Manager Legal

Encl: a/a



General information about company

Scrip code	508905
NSE Symbol	
MSEI Symbol	
ISIN	INE641A01013
Name of the company	SMIFS CAPITAL MARKETS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

SMIFS CAPITAL MARKETS LIMITED
15/06/2019

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

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10/10/2011

P. B. Nanda

Table 1 - Summary Statement holding of specified securities

Note: Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights (Total) in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Shares Underlying Outstanding Warrants (XI)	No. Of Shares Underlying Outstanding convertible securities and no. Of Warrants (X+XI) (a)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII) [(VI)+(X) As a % of (A+B+C2)]	Number of Locked in shares (XIII)		Number of Shares pledged or otherwise encumbered (XIV)		Number of equity shares held in dematerialized form (XV)	
								No of Voting (DV) Rights							Total as a % of (A+B+C)	No (a)	As a % of total Shares held (b)	No (a)		As a % of total Shares held (b)
								Class CE X	Class DE Y	Total										
(A)	Promoter & Promoter Group	10	2534051			2534051	45.37	2534051.00			2534051.00	45.37						2534051		
(B)	Public	1296	3050949			3050949	54.63	3050949.00			3050949.00	54.63						3050949		
(C)	Non Promoter, Non Public																			
(C1)	Shareholders DRs																			
(C2)	Trusts																			
	Total	1296	5585000			5585000	100	5585000.00			5585000.00	100.00						5585000		

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 For SIFIS CAPITAL MARKETS LIMITED
f. Bhatia
 (POOJAM BHATIA)
 COMPANY SECRETARY
 CUM-SENIOR MANAGER LEGAL

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sl. No.	Category & Name of the Shareholders (A)	Nos. of shareholders (B1)	No. of full paid up equity shares held (B2)	No. of Partly paid up equity shares held (B3)	No. of shares underlying Depository Receipts (B4)	Total nos. shares held (B1+B2+B3+B4) (M)	Percentage of shares (calculated as per SCRR, 1957) (N) As a % of (A+B+C2)	Number of voting rights held in each class of securities (X)			No. of shares Underlying Outstanding convertible securities (K)	No. of Shares Underlying Outstanding Warrants (L)	No. of Shares Underlying Outstanding convertible securities and Warrants (O) (K+L)	Shareholding, as a percentage of conversion of convertible securities as a percentage of diluted share capital (Q) (M/(M+O)) As a % of (A+B+C2)	Number of Locked-in shares (P)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (M1)
								Class (R)	Class (S)	Total					No.	As a % of total Shares held (B)	No.	As a % of total Shares held (B)	
(1)	Indian																		
(a)	...	7	784350			784350	14.04	784350 (V)					14.04						784350
(b)	...																		
(c)	...	3	749701			749701	31.33	749701 (V)					31.33						749701
	Sub-Total (A)(1)	10	534051			534051	45.37	534051 (V)					45.37						534051
(2)	Foreign																		
(a)	...																		
(b)	...																		
(c)	...																		
(d)	...																		
(e)	...																		
(f)	...																		
	Sub-Total (A)(2)																		
	Total Shareholding of Promoter and Promoter Group (A)(M1)+(A)(M2)	10	534051			534051	45.37	534051 (V)					45.37						534051

Table III - Statement showing shareholding pattern of the Public Shareholder

Note: Kind refer details of shareholders/holders therein as percentage of total no. of shares. Please refer to their names.

Sl. No.	Category & Name of the Shareholders (A)	Nos. of shareholders (B1)	No. of full paid up equity shares held (B2)	No. of Partly paid up equity shares held (B3)	No. of shares underlying Depository Receipts (B4)	Total nos. shares held (B1+B2+B3+B4) (M)	Percentage of shares (calculated as per SCRR, 1957) (N) As a % of (A+B+C2)	Number of voting rights held in each class of securities (X)			No. of shares Underlying Outstanding convertible securities (K)	No. of Shares Underlying Outstanding Warrants (L)	No. of Shares Underlying Outstanding convertible securities and Warrants (O) (K+L)	Shareholding, as a percentage of conversion of convertible securities as a percentage of diluted share capital (Q) (M/(M+O)) As a % of (A+B+C2)	Number of Locked-in shares (P)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (M1)
								Class (R)	Class (S)	Total					No.	As a % of total Shares held (B)	No.	As a % of total Shares held (B)	
(1)	Institutions																		
(a)	...																		
(b)	...																		
(c)	...																		
(d)	...																		
(e)	...	1	550000			550000	9.85	550000 (V)					9.85						550000
(f)	...																		
(g)	...																		
(h)	...																		
	Sub-Total (B)(1)	1	550000			550000	9.85	550000 (V)					9.85						550000
(2)	Non-Institutions																		
(a)	...	171	60070			60070	10.74	60070 (V)					10.74						60070
(b)	...	9	92851			92851	16.63	92851 (V)					16.63						92851
(c)	...																		
(d)	...																		
(e)	...	59	972026			972026	17.40	972026 (V)					17.40						972026
	Sub-Total (B)(2)	245	500949			500949	44.78	500949 (V)					44.78						500949
	Total Public Shareholding (B)(B1)+(B)(2)	246	500949			500949	44.78	500949 (V)					44.78						500949

Table IV - Statement showing shareholding pattern of the Non Promoter, Non Public shareholder

Sl. No.	Category & Name of the Shareholders (A)	Nos. of shareholders (B1)	No. of full paid up equity shares held (B2)	No. of Partly paid up equity shares held (B3)	No. of shares underlying Depository Receipts (B4)	Total nos. shares held (B1+B2+B3+B4) (M)	Percentage of shares (calculated as per SCRR, 1957) (N) As a % of (A+B+C2)	Number of voting rights held in each class of securities (X)			No. of shares Underlying Outstanding convertible securities (K)	No. of Shares Underlying Outstanding Warrants (L)	No. of Shares Underlying Outstanding convertible securities and Warrants (O) (K+L)	Shareholding, as a percentage of conversion of convertible securities as a percentage of diluted share capital (Q) (M/(M+O)) As a % of (A+B+C2)	Number of Locked-in shares (P)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (M1)
							Class (R)	Class (S)	Total	No.					As a % of total Shares held (B)	No.	As a % of total Shares held (B)		
(1)	...																		
(2)	...																		
	Total NonPromoter- Non Public Shareholding (Q) (Q1)+(Q2)	256	585000			585000	100.00	585000 (V)					100.00						585000
	Total (A)+(B+C)	256	585000			585000	100.00	585000 (V)					100.00						585000

Disclosure of notes on shareholding pattern

Disclosure of notes in case of promoter holding in dematerialized form is less than 100 percentage

Disclosure of notes in case of public share holding is less than 25 percentage

Disclosure of notes on shareholding pattern for company remarks explanatory

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15/11/2024

15/11/2024

Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)-(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(Xi)(e) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
						No of Voting (XIV) Rights		Total as a % of Total Voting rights					
						Class eg: X	Total						
A1(a) Individuals/Hindu undivided Family													
1	AJAY KUMAR KAYAN	AGGPK0892G	402250	402250	7.20	402250.00	402250.00	7.20		7.20	402250		Promoter
2	LALITA KAYAN	AGGPK0893H	154300	154300	2.76	154300.00	154300.00	2.76		2.76	154300		Promoter Group
3	NILANGI PAREKH	AJPPP3852K	123000	123000	2.20	123000.00	123000.00	2.20		2.20	123000		Promoter Group
4	UTSAV PAREKH	AGHPP4467H	96200	96200	1.72	96200.00	96200.00	1.72		1.72	96200		Promoter
5	RAHUL KAYAN	AGAPK0442L	7000	7000	0.13	7000.00	7000.00	0.13		0.13	7000		Promoter Group
6	SUMAN BHARTIA	AEEPB9602B	1500	1500	0.03	1500.00	1500.00	0.03		0.03	1500		Promoter Group
7	PAYAL SARAF	AFYPK7045N	100	100	0.00	100.00	100.00	0.00		0.00	100		Promoter Group
	Click here to go back	Total	784350	784350	14.04	784350.00	784350.00	14.04		14.04	784350		

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 FOR SMIFS CAPITAL MARKETS LIMITED
Jb Ualla
 (SUMAN BHARTIA)
 COMPANY SECRETARY
 CUM-SENIOR LEGAL OFFICER

Serial No.	Category	Name of the shareholders (I)	PAN (II)	No. of the Shareholders (III)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities		Total as a % of Total Voting rights	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (X) (a)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) (VIII)+(X)	Number of equity shares held in dematerialized form (XV)	Reason for not providing PAN	Shareholder type
								No of Voting (XIV) Rights							
								Class eg. X	Total						
A1(d)	Any Other (specify)														
1	Bodies Corporate	MAC KERTICH CONSULTANCY SERVICES PRIVATE LIMITED	AABCM568CQ	1	1115700	1115700	19.98	1115700.00	1115700.00	19.98		19.98	1115700		
2	Bodies Corporate	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	AABCP602DF	1	357251	357251	6.40	357251.00	357251.00	6.40		6.40	357251		
3	Bodies Corporate	STEWART INVESTMENT AND FINANCIAL PRIVATE LIMITED	AADCS812C	1	276750	276750	4.96	276750.00	276750.00	4.96		4.96	276750		
				3	1749701	1749701	31.33	1749701.00	1749701.00	31.33		31.33	1749701		

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 FOR SIMPLIFIED CAPITAL MARKETS LIMITED
B. Nallan
 Director
 CUM SENIOR MEMBER OF THE BOARD
 CUM SENIOR MEMBER OF THE BOARD

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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
						No of Voting (XIV) Rights		Total as a % of Total Voting rights			
						Class eg: X	Total				
Disclosure of shareholder holding more than 1% of total number of shares											
1	THE INDIAMAN FUND (MAURITIUS)LIMITED	AAACT4855D	550000	550000	9.85	550000.00	550000.00	9.85	9.85	550000	
	Click here to go back	Total	550000	550000	9.85	550000.00	550000.00	9.85	9.85	550000	

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FOR SWIFS CAPITAL MARKETS LIMITED

P. Bhunia

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
						No of Voting (XIV) Rights		Total as a % of Total Voting rights			
						Class eg: X	Total				
B3(a)(II) Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.											
Disclosure of shareholder holding more than 1% of total number of shares											
1	S N RAJAN	ANWPS3969F	313940	313940	5.62	313940.00	313940.00	5.62	5.62	313940	
2	SUBRAMANIAN P	AMBPS8931K	182590	182590	3.27	182590.00	182590.00	3.27	3.27	182590	
3	MINAL BHARAT PATEL	AACPP5126G	152404	152404	2.73	152404.00	152404.00	2.73	2.73	152404	
4	VENKATTU SRINIVASAN	ABFPV0278F	79904	79904	1.43	79904.00	79904.00	1.43	1.43	79904	
5	PUNJABHAI KESHAVBHAI JADEJA	AEDPK1160L	67500	67500	1.21	67500.00	67500.00	1.21	1.21	67500	
6	RAKESH KUMAR JAIN	AAFPI6867K	60153	60153	1.08	60153.00	60153.00	1.08	1.08	60153	
	Click here to go back	Total	856491	856491	15.34	856491.00	856491.00	15.34	15.34	856491	

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For SIMIFS CAPITAL MARKETS LIMITED

P. B. N. N.

(POONAM BHATT)
 COMPANY SECRETARY
 CUM-SENIOR MANAGER LEGAL

Serial No.	Category	Category / More than 1 percentage	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (III)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (VI)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		Total as a % of Total Voting rights	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X) = (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XV)	Reason for not providing PAN
									Class eg: X	Total				
B3(e) Any Other (specify)														
1	IEPF					108105	108105	1.94	108105	108105	1.94		108105	
2	Bodies Corporate					855204	855204	15.31	855204	855204	15.31		855204	
3	Clearing Members					3717	3717	0.07	3717	3717	0.07		3717	
4	Non-Resident Indian (NRI)					5002	5002	0.09	5002	5002	0.09		5002	
						972028	972028	17.41	972028.00	972028.00	17.41		972028	

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 For SMIFS CAPITAL MARKETS LIMITED
P. Bhunia
 (POONAM BHATIA)
 COMPANY SECRETARY
 CUM-SENIOR MANAGER LEGAL

Table V - Statement showing details of significant beneficial owners (SBOs)

Details of the (SBO) (I)			Details of the registered owner (II)			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect: (III)					Date of creation / acquisition of significant beneficial interest (IV)	
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence by Virtue of shares	
1	AJAY KUMAR KAYAN	AGGPK0892G	INDIAN	MACKERTICH CONSULTANCY SERVICES PVT LTD	AABCM5680Q	Indian	74.17	74.17	74.17			30.01.1995
2												
3												
4												
5												
6												
7												
8												
9												
10												

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For SWIFS CAPITAL MARKETS LIMITED

Poonam Bhatia

(POONAM BHATIA)
 COMPANY SECRETARY
 CUM-SENIOR MANAGER LEGAL